

# **Assimilation of the Middle Atmosphere Data in the FV3GFS and WAM: First Results and Impact on the Upper Atmosphere**

*Valery Yudin, CU/CIRES, NOAA/GSL*

*Svetlana Karol, Timothy Fuller-Rowell, Adam Kubaryk (CU/CIRES);  
Daryl Kleist and Catherine Thomas (NCEP/EMC)*

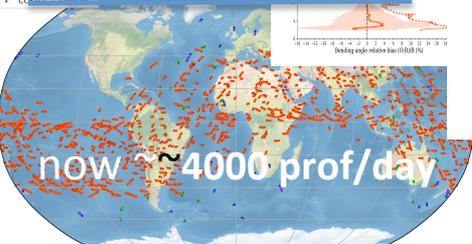
## **Outline:**

- 1) Middle Atmosphere (MA) data - observations of temp-re, winds, O<sub>3</sub>, H<sub>2</sub>O and tracers**
- 2) MA data analysis in GSIWAM-600km and FV3GFS-80km**
- 3) Impacts of MA data in operational systems and NWP/SW applications.**
- 4) Next steps, and why UFS may support the MA data analysis in NOAA models?**

# Operational Upper Stratosphere Data (35~40/60 km): GPSRO (COSMIC-2,1) AMSUA/Ch-14, and ATMS/Ch-15

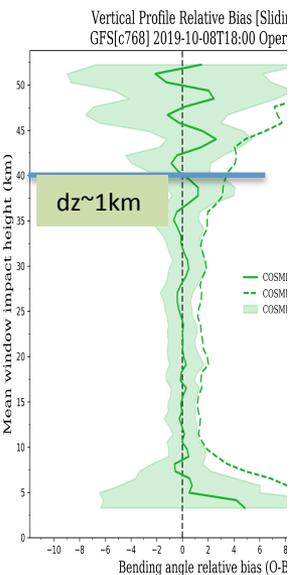
6-sat of COSMIC2 > 8000 prof/day

Observation local GFS[c768] 2019-10-C

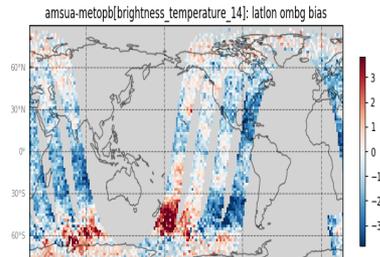
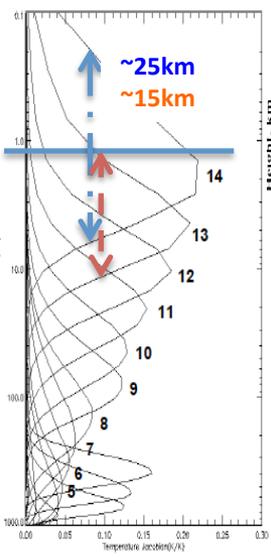


Num accepted: COSMIC-2: 253059/283415(89.3%), KOMPSAT-5: 10287/11681(88.1%), COSMIC-1: 5838/9581(61.0%)

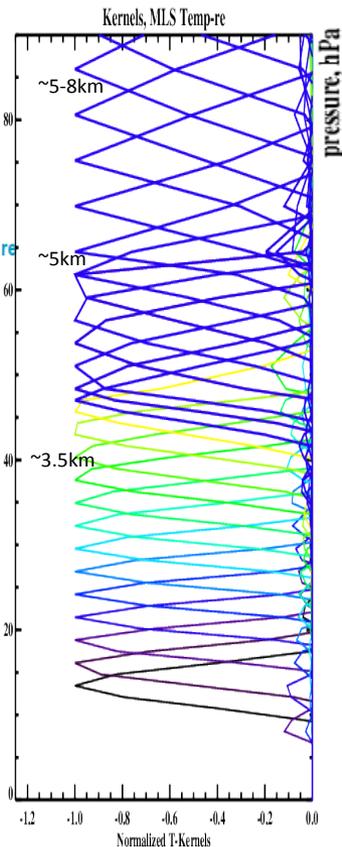
<http://nrt.jcsda.org/hofx>



AMSUA-A sensitivity to temperature

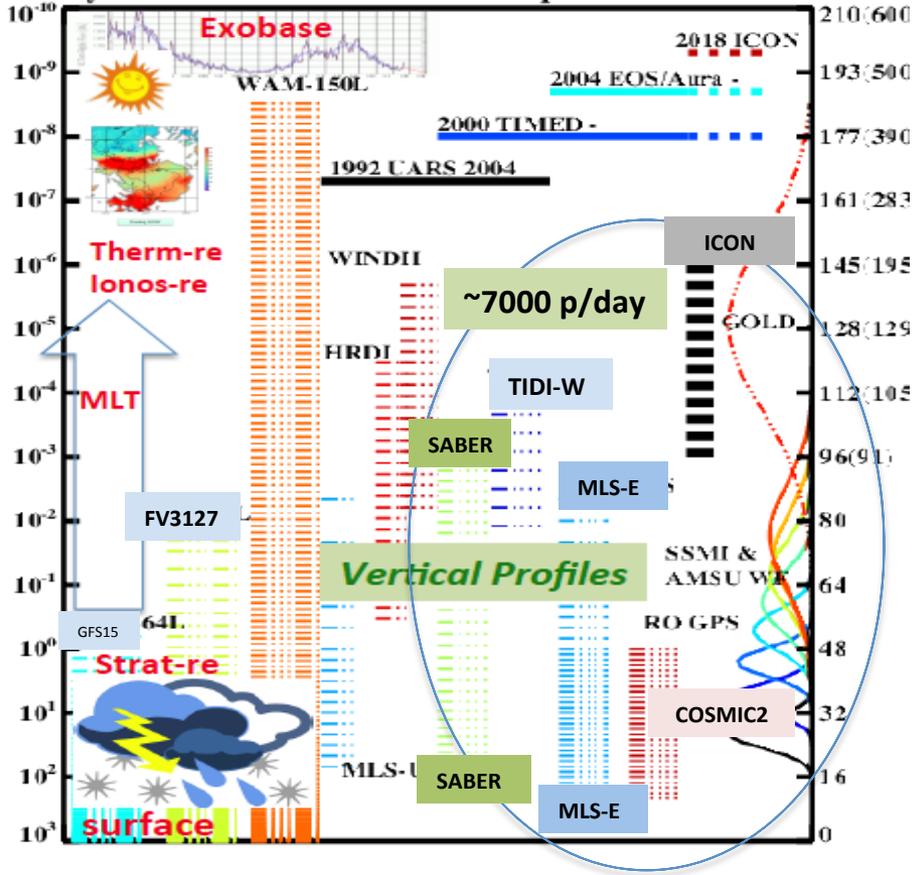


millions points/day



# NRT-MLS data and UA observations in the stratosphere-mesosphere-thermosphere

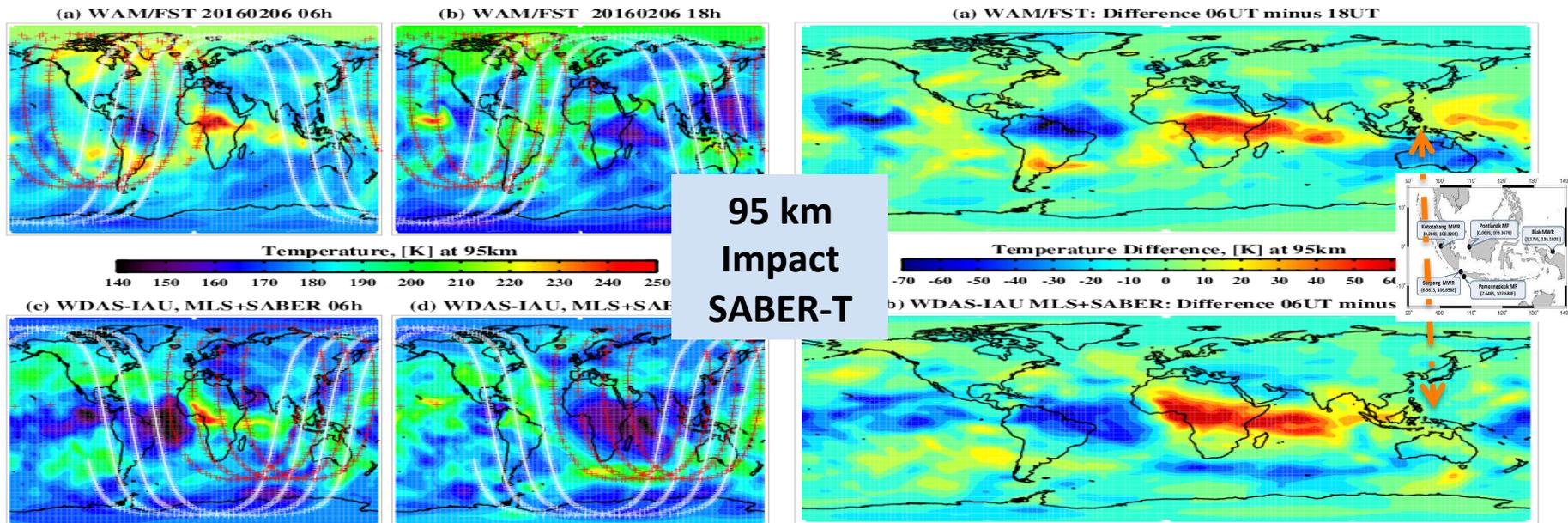
Layers of NOAA models & 1992-present SMLT Data



MA limb profilers: MLS (2004-present, 4000 prof/day) SABER (1450 prof/day), TIDI (2000-present), and ICON (2019-present).

[https://discrnt1.gesdisc.eosdis.nasa.gov/pendap/Aura\\_MLS\\_NRT](https://discrnt1.gesdisc.eosdis.nasa.gov/pendap/Aura_MLS_NRT); <http://saber.gats-inc.com/>

# Middle Atmosphere Data Analysis of Limb Observations in GSI-WAM and GSI-FV3GFS



**MLS-NRT (v4.2):** T, O<sub>3</sub>, H<sub>2</sub>O and 4 species  
 ~4000 prof/day of Temp-re (15- 90km)

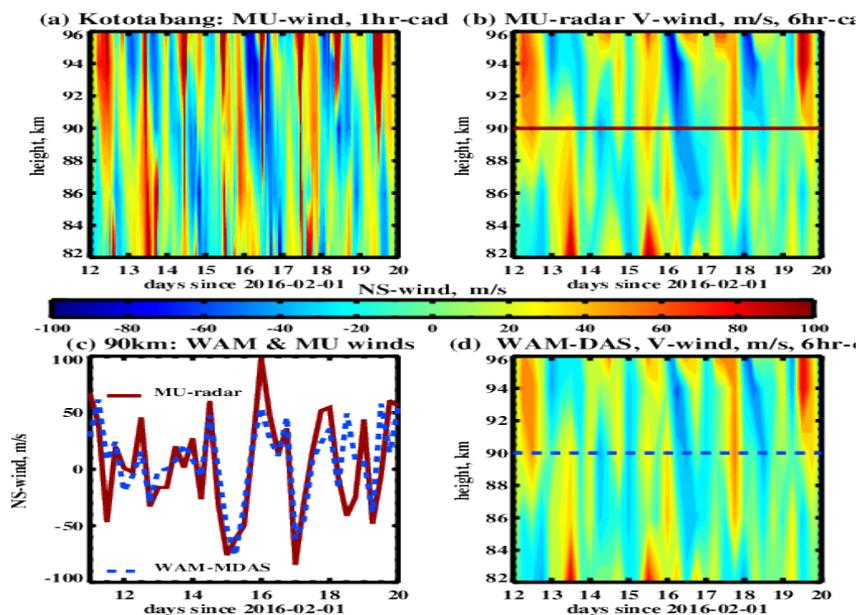
**SABER (v2.0):** T, O<sub>3</sub>, O, H<sub>2</sub>O (T: 15-110km)  
 ~1450 prof/day (latency ~ 5-7 days; retroDA)

**DA of MLS and SABER in WAM can constrain:  
 prevailing flow, diurnal cycles, tides & mixing.**

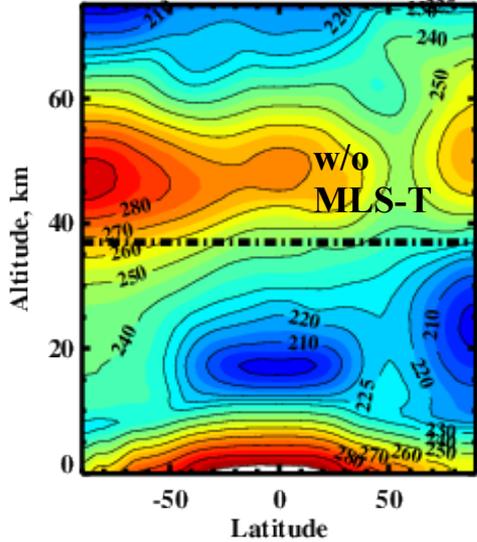
WAM advantage – no sponge layers (TL-500km in diffusive domain)

Analysis of SABER and MLS data in WAM =>

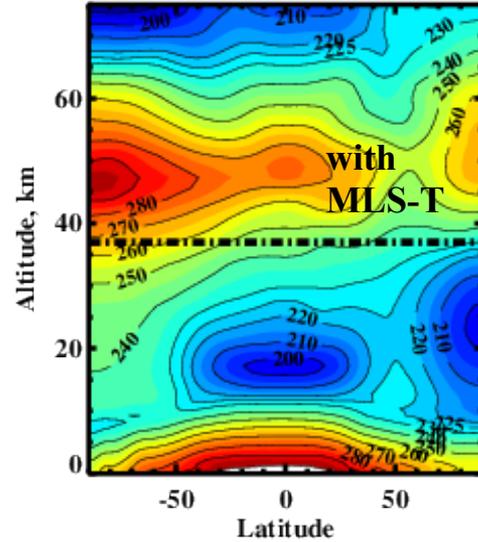
**DA and Forecast of FV3GFS-80km can be calibrated by MA data between 40-80 km.**



GEOS-5 Temp-re 01/2020



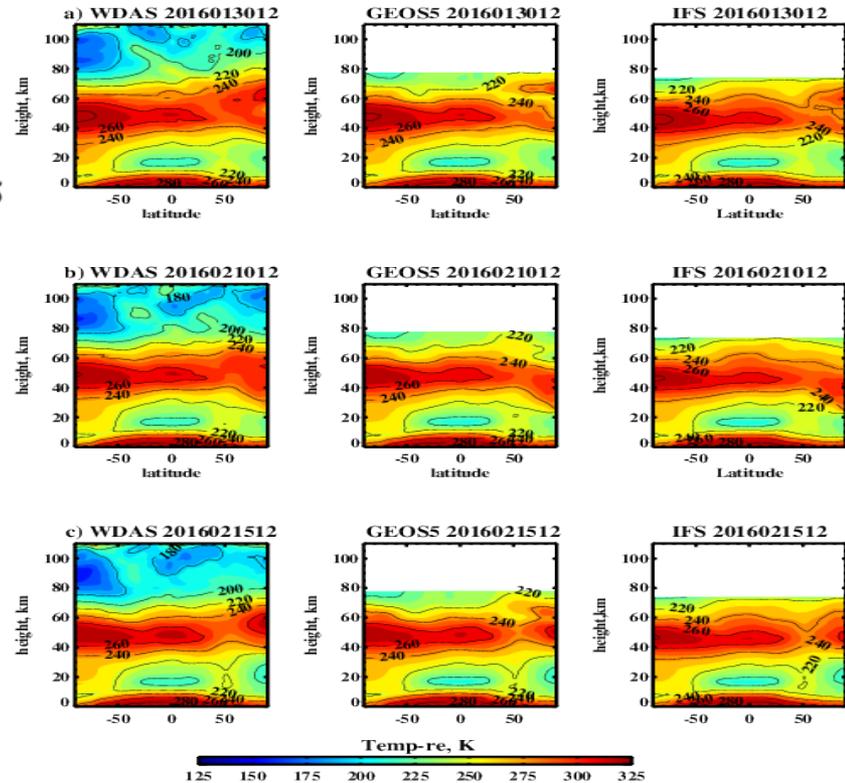
MERRA-2 Temp-re 01/2020



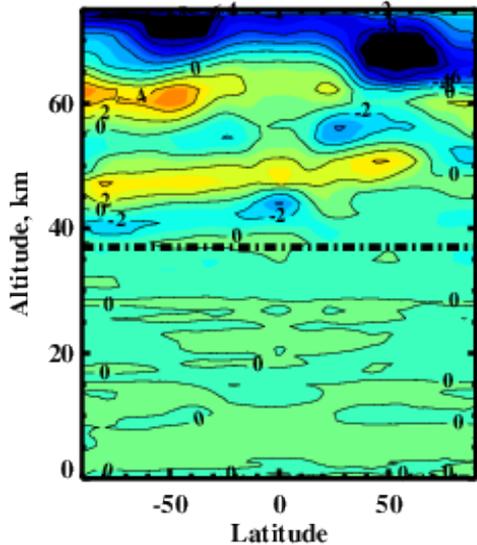
**WARM BIAS** near the TL of NWP models with RDA of AMSU-A and ATMS (60-80 km), while “use” of MLS-T in reanalysis (MERRA-2) can bring the realism in the upper layers (remove ~5-10 K warm bias)

**Differences between T-analyses (WAM-MDAS, GEOS-5 and IFS) > 40 km**

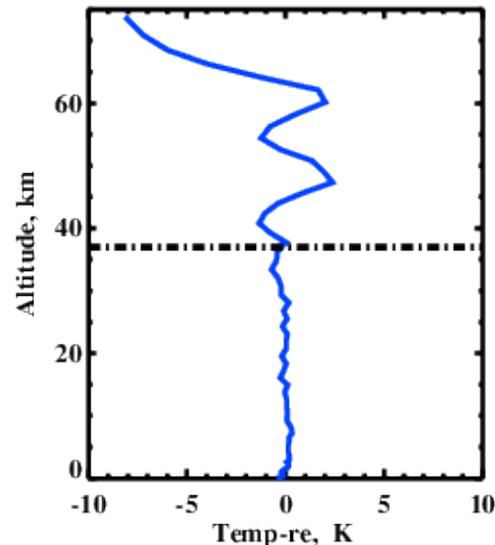
**Impact of MLS-T data in MERRA-2 (above 5hPa)**



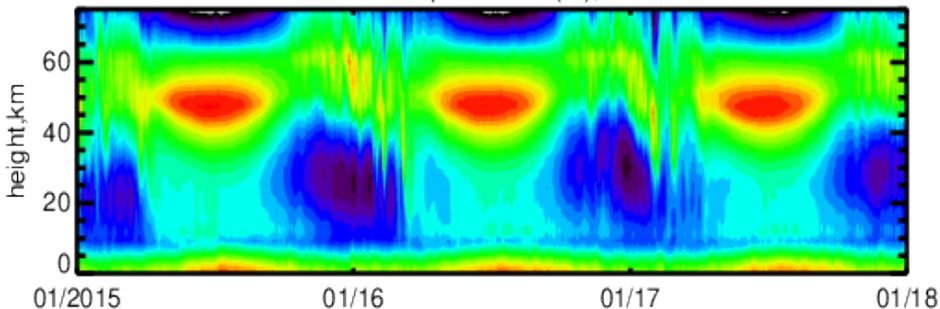
MERRA-2 minus GEOS-5



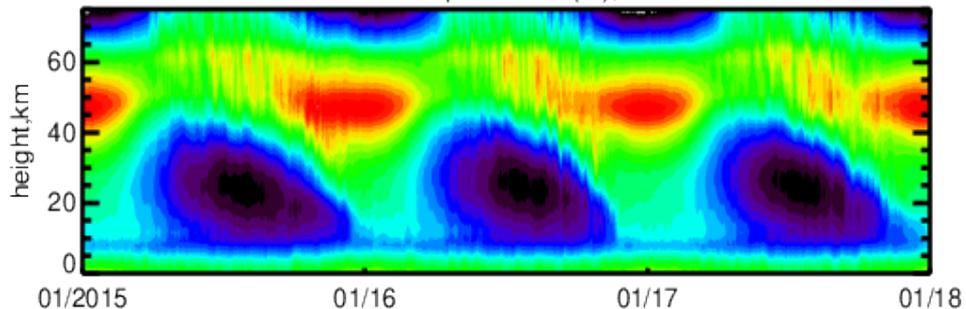
Global-av: MERRA-2 - GEOS-5



MERRA Temperature (K), 70N-90N

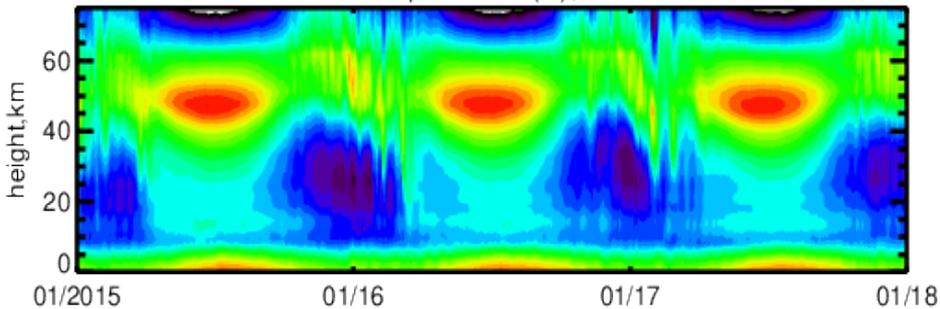


MERRA Temperature (K), 70S-90S

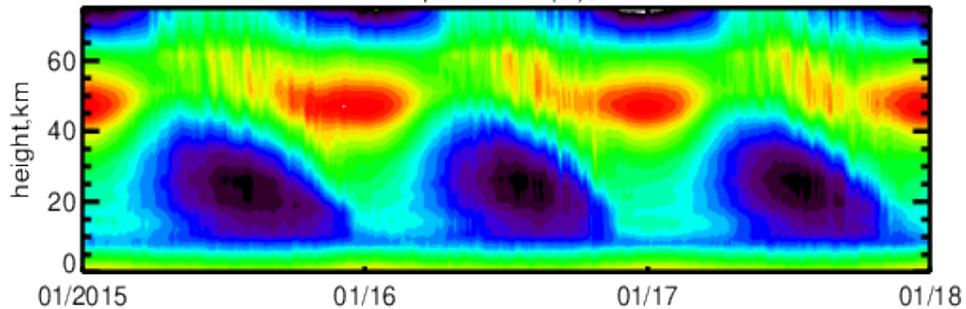


*Polar Temperatures of MERRA-2 and MLS display striking agreements above the tropopause*

MLS Temperature (K), 70N-90N



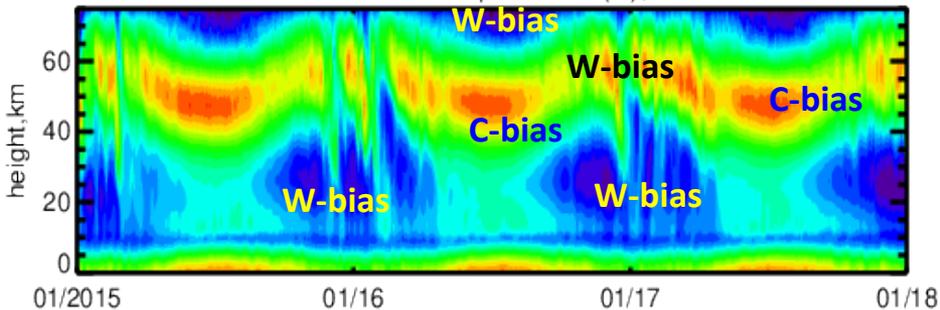
MLS Temperature (K), 70S-90S



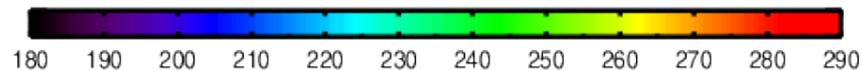
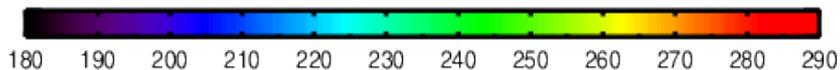
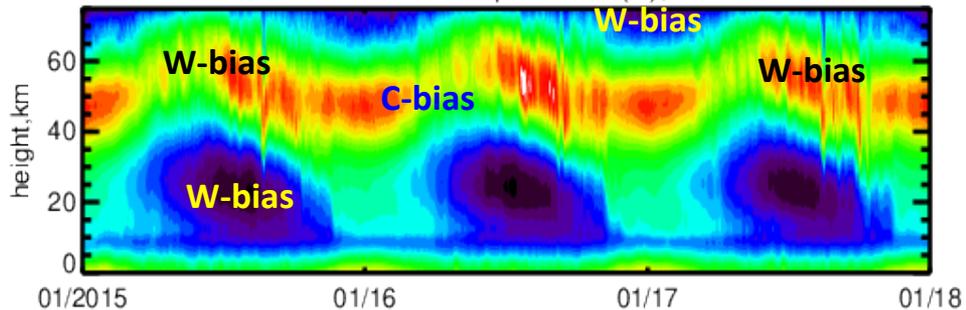
*FV3-biases < 40 km can be removed by GDAS*

*Warm bias > 40km needs data anal. in upper layers*

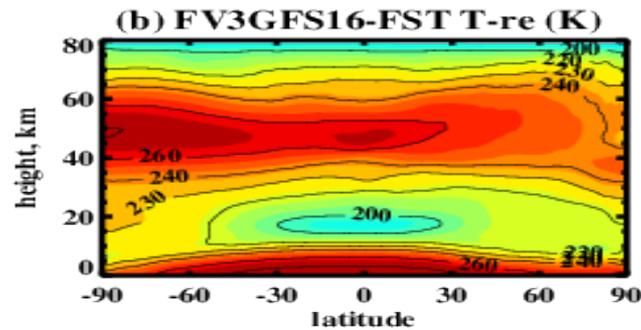
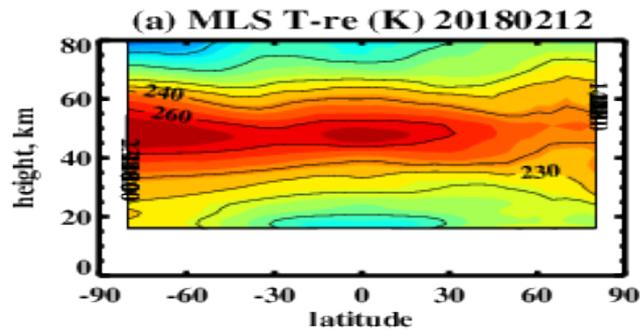
FV3GFS-80km Temperature (K), 70N-90N



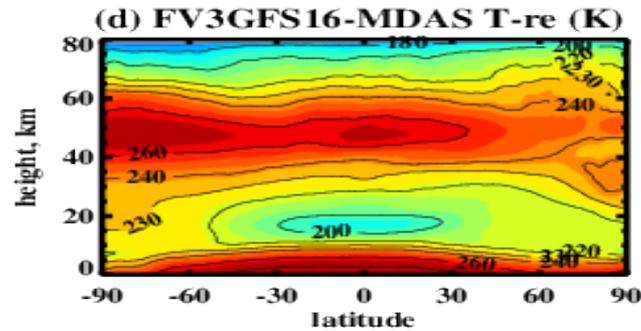
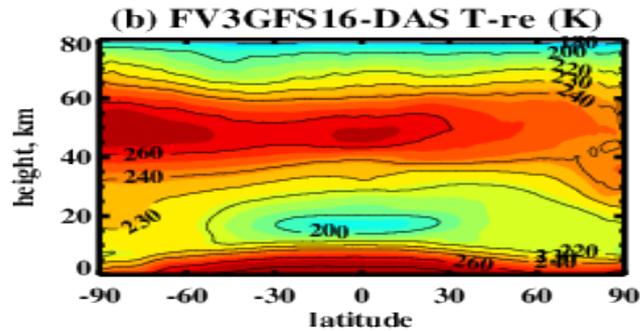
FV3GFS-80km Temperature (K), 70S-90S



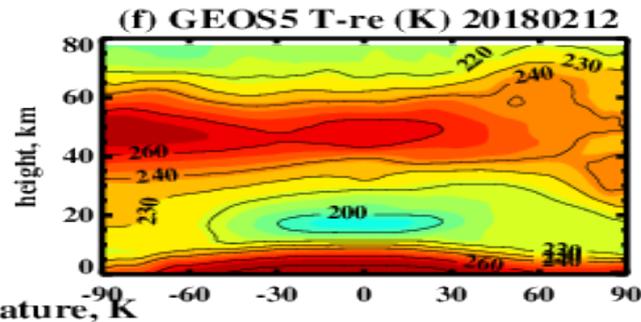
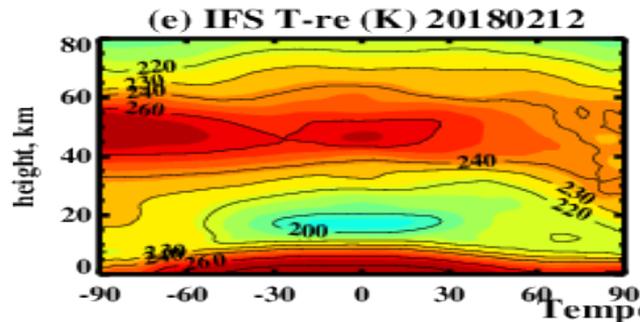
# Temperature Analysis and Forecast in FV3GFS-80km



MLS-v4.2 data and FV3GFS-FST 10d from MERRA-2 (ICs) FST-10d can predict SSW, but produce warm bias > 60 km.

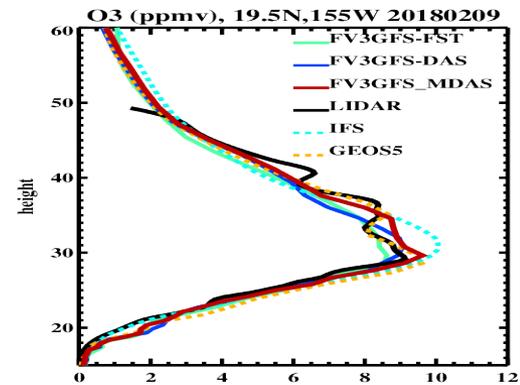
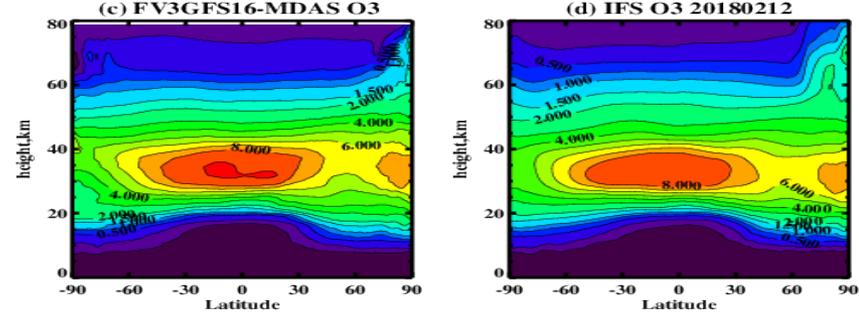
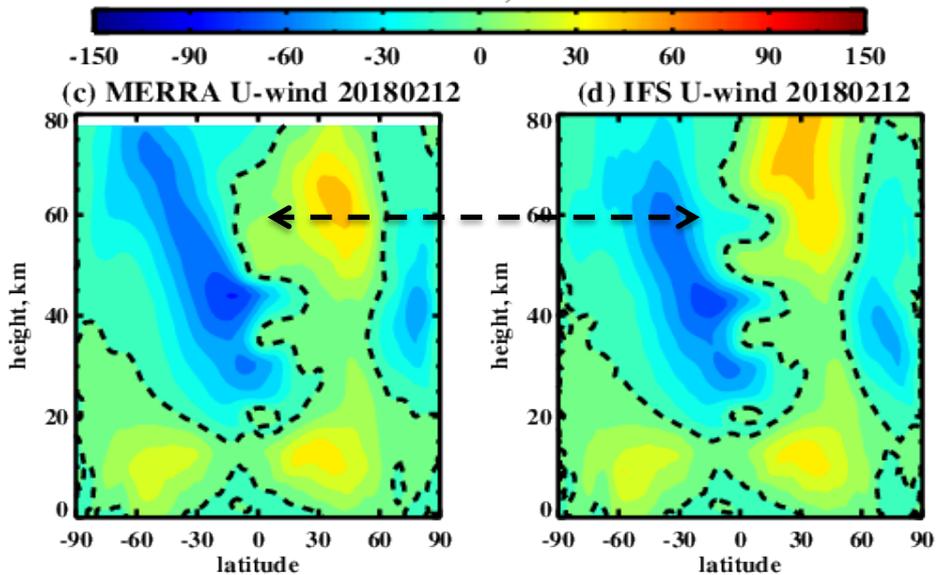
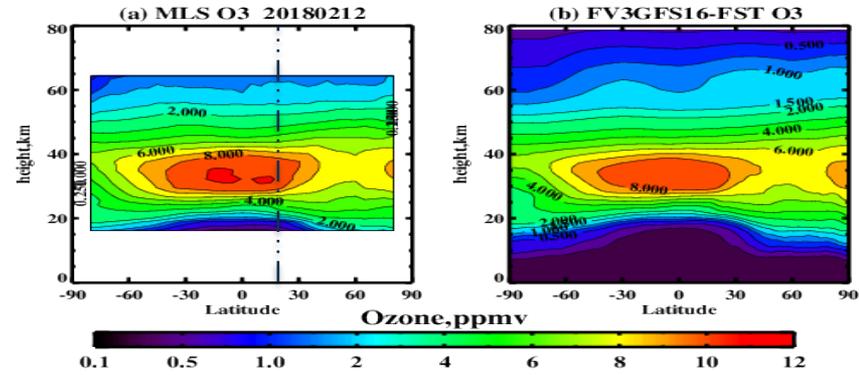
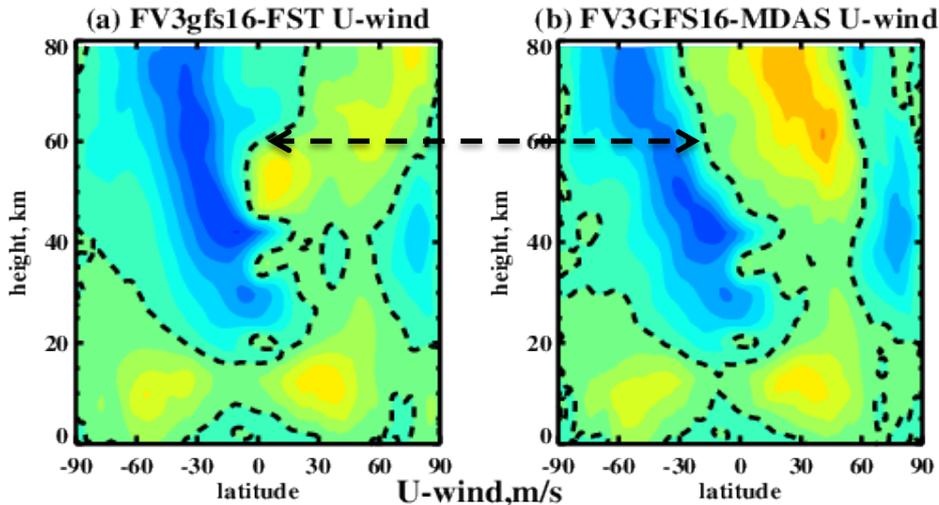


FV3DAS-3Dvar with and without SABER and MLS T-data (w/o AMSUA-Ch14 and ATMS-Ch15 radiances). Both DA prevent warming in upper layers.

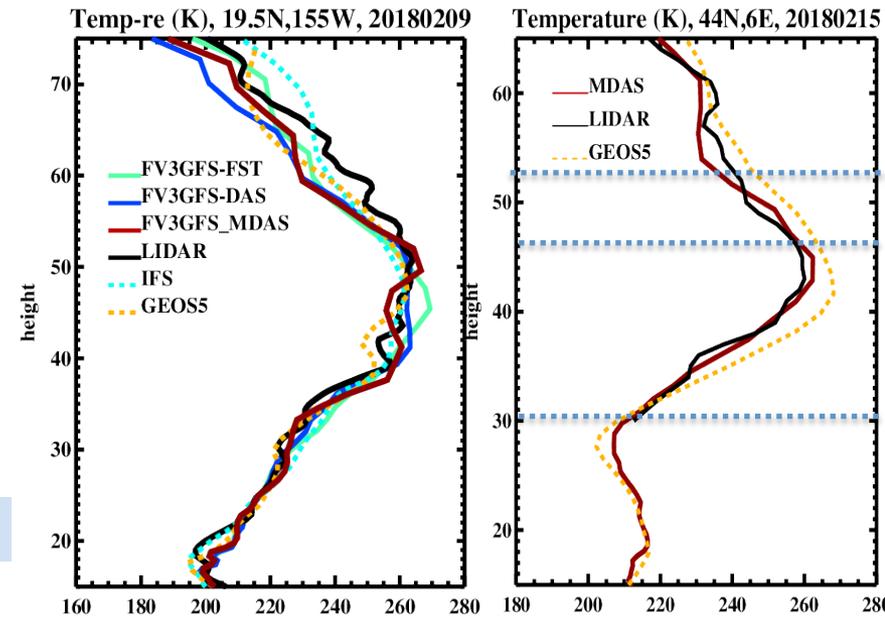
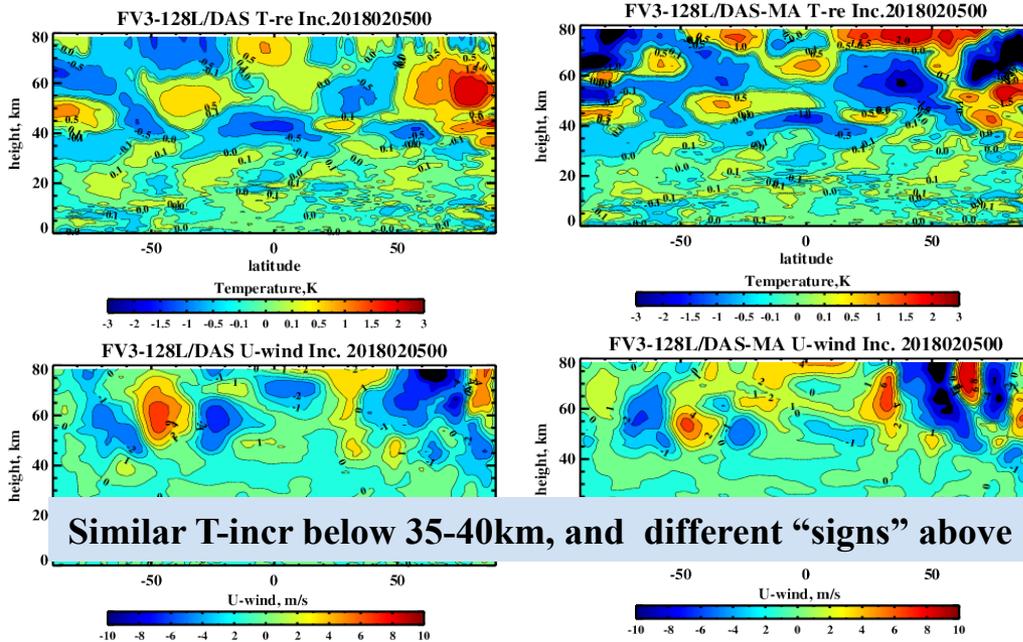


Operational analyses of IFS (ECMWF) and GEOS-5 with AMSUA/14 & ATMS/15 radiances, but w/o MLS data. RDA in IFS and GEOS-5 can't prevent warm bias (>60km), correcting slightly warm bias below 2 hPa (~50-40 km)

# Zonal Wind and O<sub>3</sub> Analyses and Forecasts in FV3GFS-80km, 20180212 (SSW-onset)

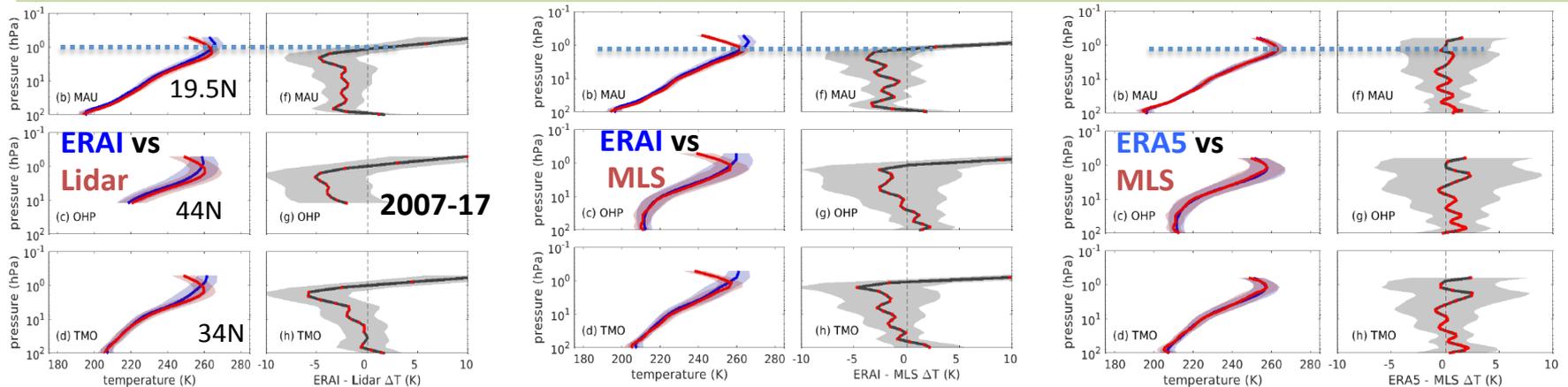


# Analysis Increments with and w/o DA of SABER and MLS in FV3GFS-v16 (3DVar, C192) Feb 2018 and T-Lidars



Similar T-incr below 35-40km, and different “signs” above

## ERA-I and ERA-5 (2007-17) vs MLS and Lidars, *Marlton et al. (2020)*; Bias suppression in ERA-5 due to GPSRO



# Monthly Analysis Increments of Temperature and Winds

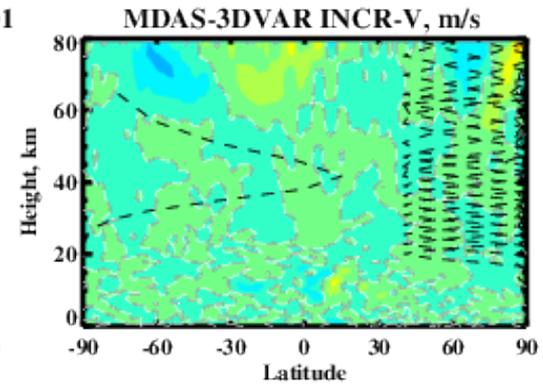
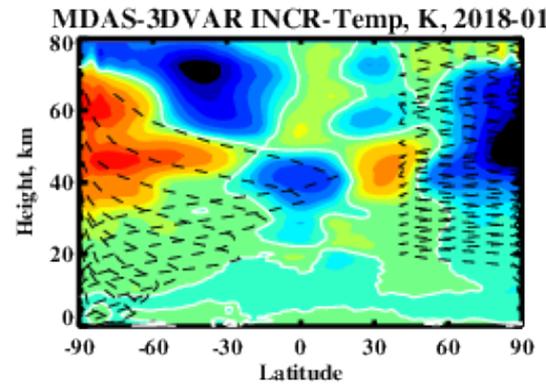
## 3DVAR with MLS, Jan-2018

(above 40 km: weakest INCR-V; INCR-T display the expected Jan FV3-biases relative to MERRA-2).

**V16Retro3e 4DVar-IAU without MLS, 2020-01**, (enhanced INCR-V in the “data-blind” domain above 60 km; spread of INCR-T to the TL).

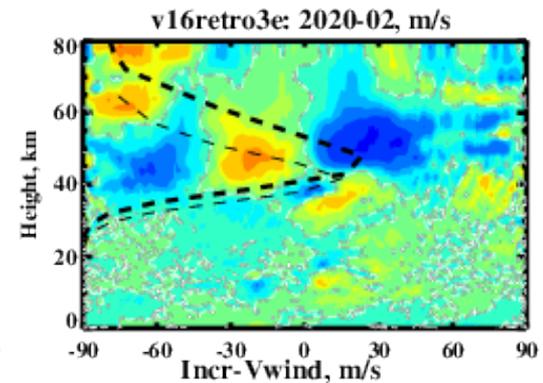
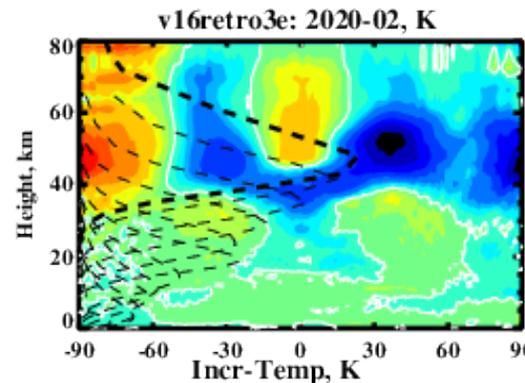
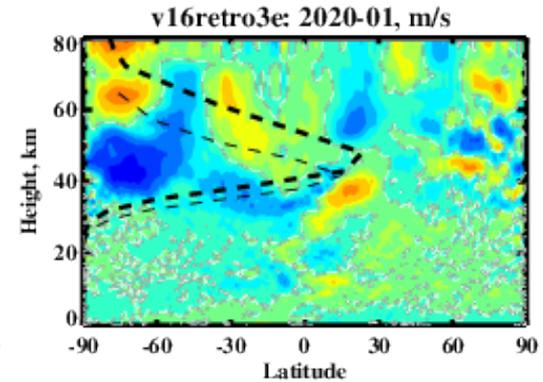
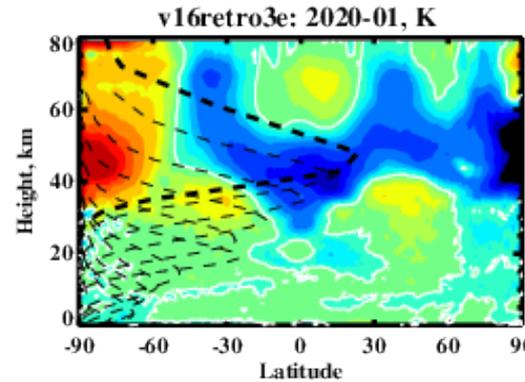
**V16Retro3e, 2020-02**, Ensemble cross-correlations (V-T) and TLNM-balance can initiate largest wind increments from the high-peaked AMSU-A/ATMS ch at the top layers

**Recipe:** avoid vertically variable “wind” increments near the top especially for the FV3-NH dycore.



*Temp –increments*

*NS-wind increments*



-1.5 -1. -0.5 0 0.5 1. 1.5

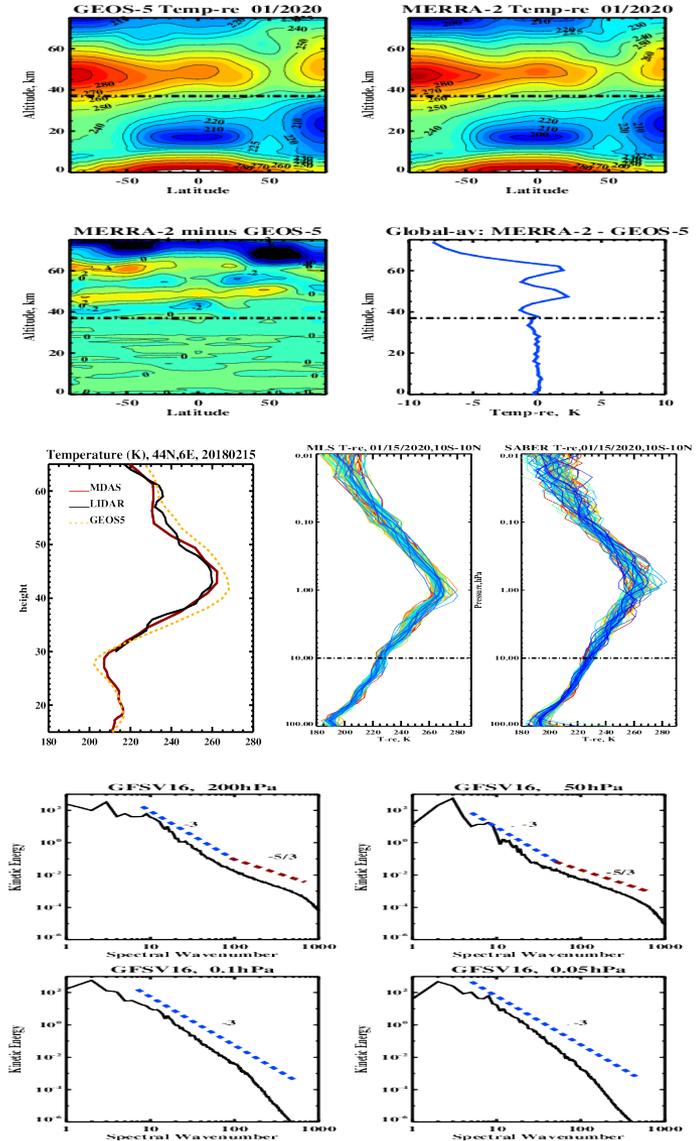
-3 -2 -1 0 1 2 3

# Concluding Remarks and Why UFS may Support MA Data Analysis with the Doubling Layers and Raise of the FV3 Top ?

- Both MLS and SABER vertical profiles can remove biases in GSMWAM and FV3GFS-80 km above ~35-40 km (top bounds of radiosonde and RO profiles) and constrain the high top models towards the upper atmosphere climatology.
- Upper layer T-biases of NWP models and modern reanalyses can be removed by MLS data, as displayed by comparisons of GEOS-5 and MERRA-2, along with evaluation of ERA by lidar/MLS data (Marlton *et al.*, 2020).
- Next generation of NOAA reanalysis (127-Levels) will be improved by considering SABER (2000-present) and MLS (2004-present) profiles in the stratosphere and mesosphere.

## For GFS-operational systems:

- Orchestration of MLS-T with ATMS-15 and AMSUA-14 radiances to address “biases” and control the ensemble spread above 40 km can be alternative to the “enhanced” dycore damping of FV3 above 30 km in GFSv16-17.
- The MLS-NRT profiles (T, O<sub>3</sub>, and H<sub>2</sub>O) with 3-hr latency can be ingested to improve the stratosphere-troposphere coupling for MER and S2S in GFS; doubling of GFS vertical resolution needs better utilization of vertical profile data: sondes, GPS-RO and MLS.



# Consistency between Vertical (dz) and Horizontal (dX) Resolutions of Observing Systems: Model and Data

Consistent Vertical and Horizontal Resolution

RICHARD S. LINDZEN

Center for Meteorology and Physical Oceanography, Massachusetts Institute of Technology, Cambridge, Massachusetts

MICHAEL FOX-RABINOVITZ

Laboratory for Atmospheres, Goddard Space Flight Center, Greenbelt, Maryland

(Manuscript received 12 January 1989, in final form 9 June 1989)

a. Quasi-geostrophic flows  $\Delta L = \frac{N}{f_0} \Delta z.$

$\Delta z \approx 0.776 \Delta \phi.$

**AR = dX/dz ~ Tau\_dyn/[5-min] ~ 10-100**

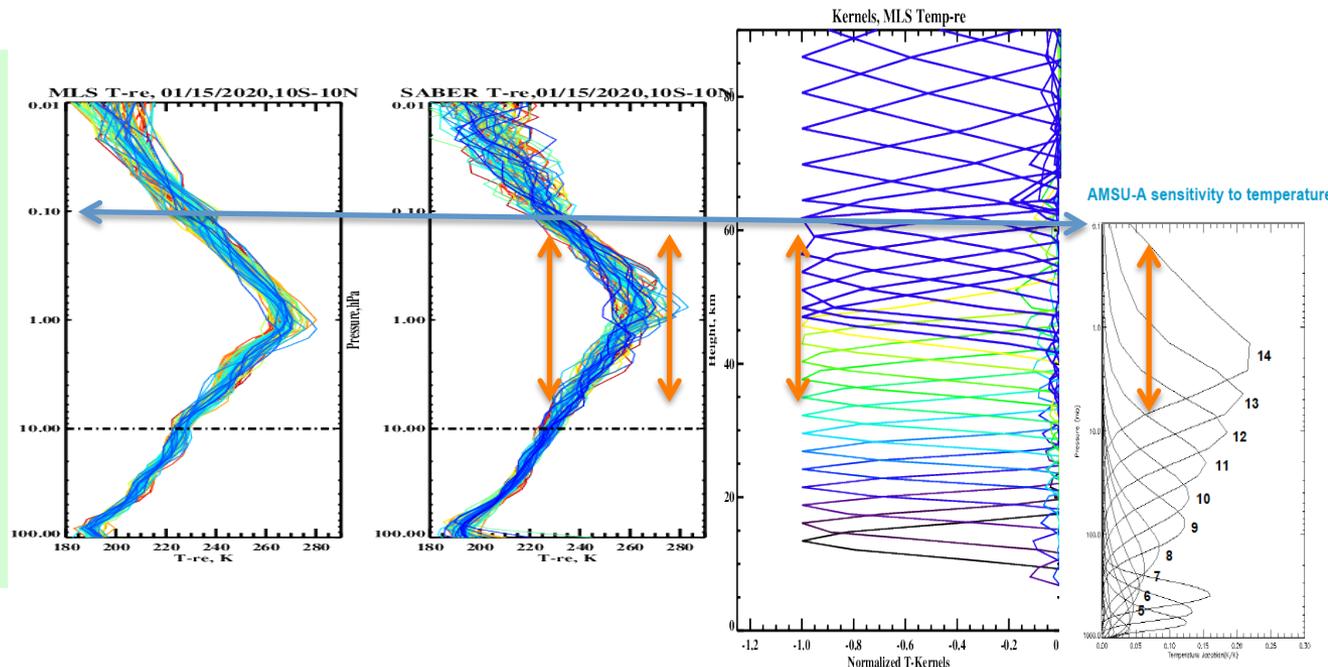
$\Delta z_{\min} \approx \frac{\sigma_i}{N} \Delta L.$

b. Gravity waves

**Upper layer nadir sensors:** Jacobian's vert. width > 2 pressure scales ( **15-25 km**) and pixel size dX ~80 km; dZ\_Jac ~ dX ( AR=dX/dz ~ 1 ); data cannot resolve vertical propagation of PWs, tides, and GWs in the strato-mesosphere; **radiance data can correct horizontal structures of layer-averaged temperatures ( where T-profile values vary from ~225K to ~270K).**

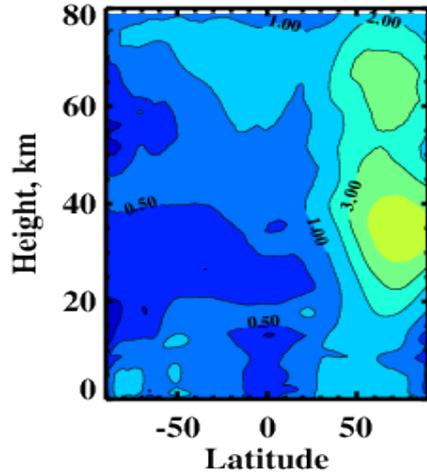
## Limb-viewing sensors

(MLS, SABER, & GPSRO with dz < 1-5 km and dX ~100-200 km; AR > 10) can "see" and constrain vertically propagated waves. Vertical resolutions of data and models are comparable; along limb view T-values vary on several K for typical dT/dx.

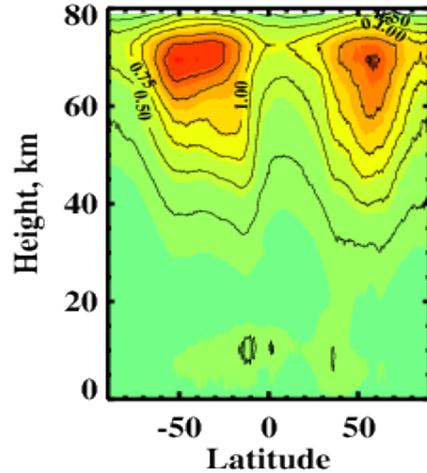


Jan 2020, C384: Variability of FV3-climate run (1-YR) vs Ens Spread for “V16retro3e”  
Ensemble spreads are ~3-5 times larger, and likely dominated by “analysis”  
adjustments; no signatures of enhanced “wintertime” polar variability; vertical wind  
variance is larger and deeper; unexpected “resemblance” between U and V variances.

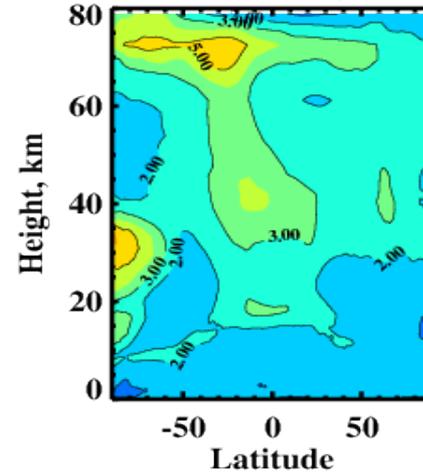
FV3: var-T, K



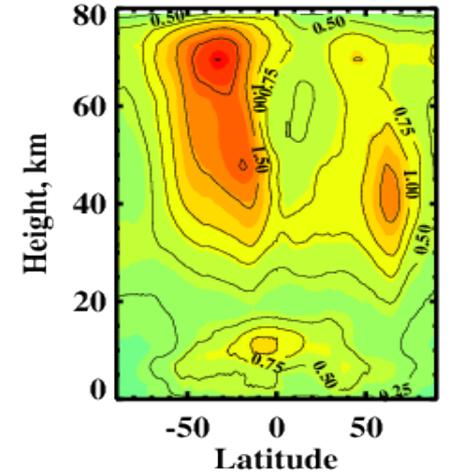
FV3: var-W x 100, m/s



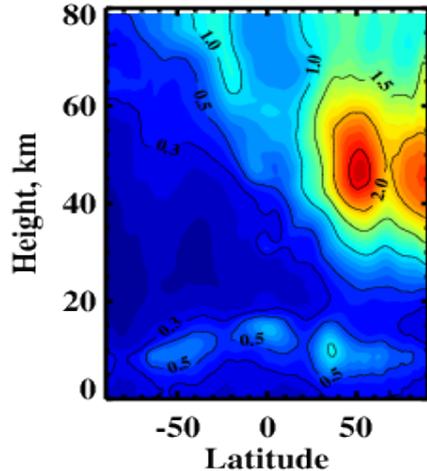
Ens var-T, K



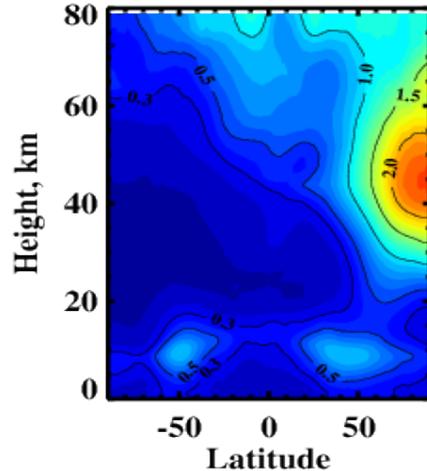
Ens var-W x 20, m/s



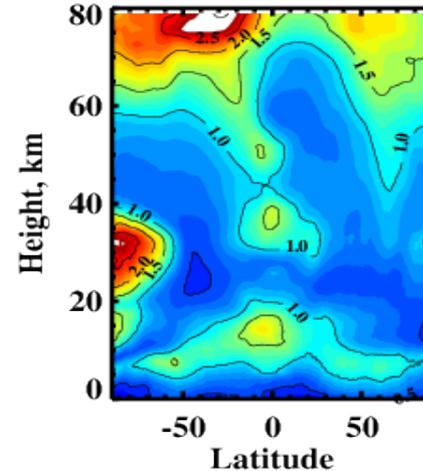
FV3: var-U, m/s, x 1



FV3: var-V, m/s, x 1



Ens var-U, m/s, x 0.5



Ens var-V, m/s, x 0.5

