

HWRF Data Assimilation System

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NOAA/NCEP/EMC

2016 HWRF Tutorial, January 25th, NCWCP

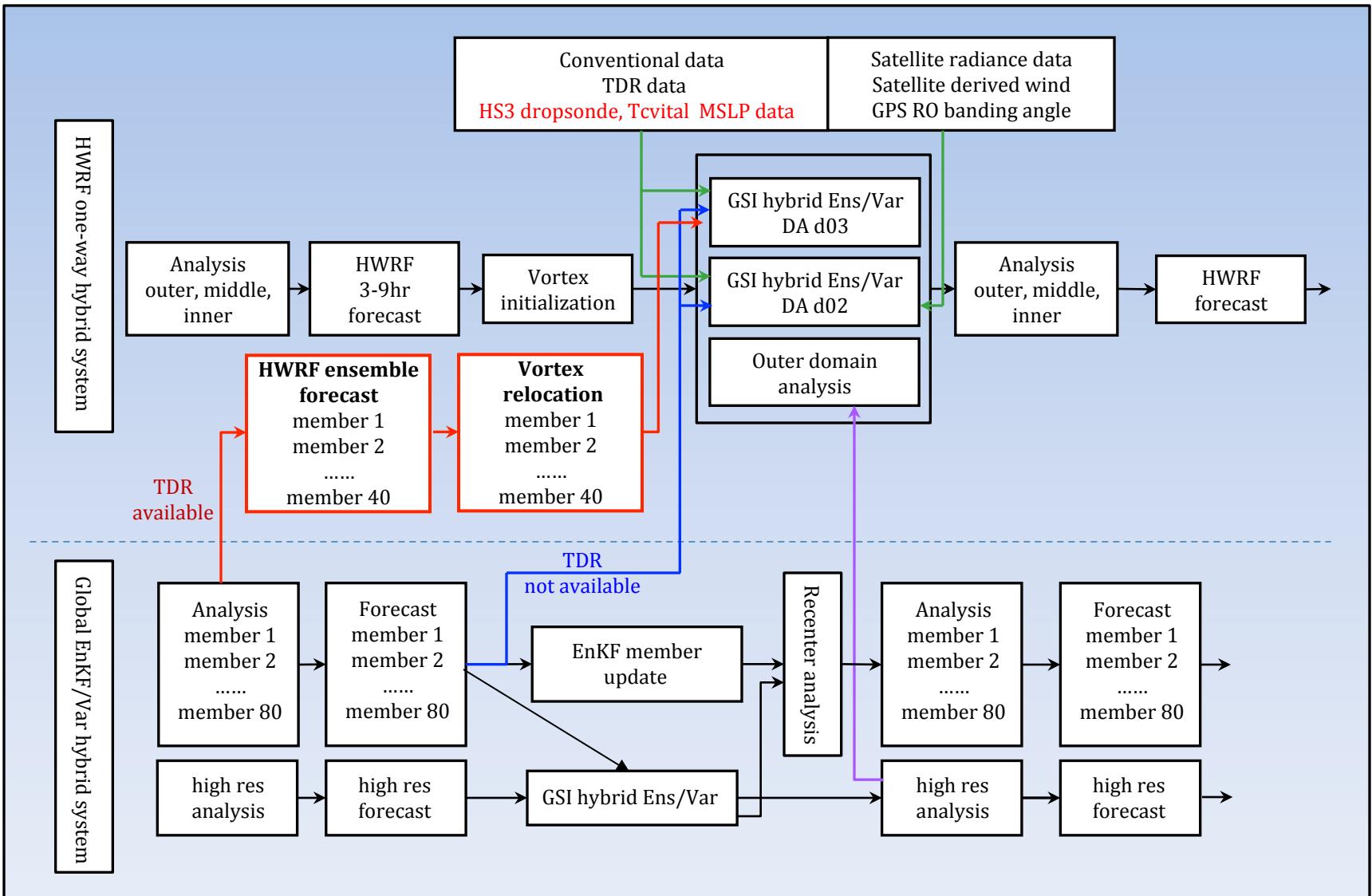
Outline

- HWRF Data assimilation system
- GSI customization
 - Data assimilation configuration
 - Set up hybrid DA
 - Control assimilation of observations
 - Conventional data preprocessing
 - TDR DA
 - Satellite DA

Data assimilation for HWRF

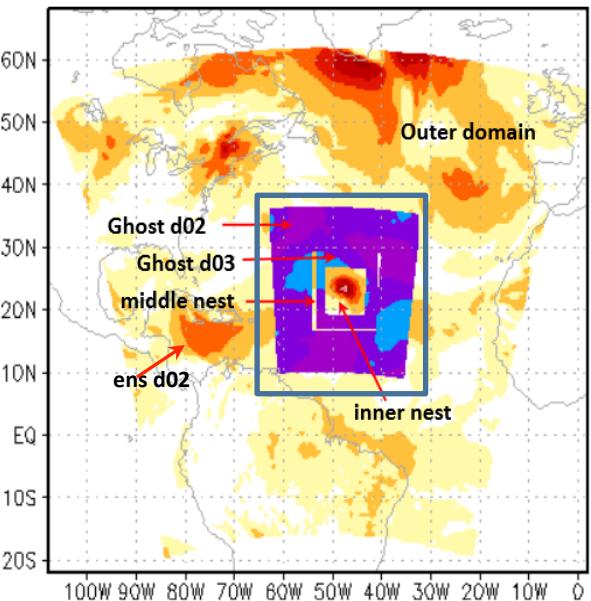
2013	<ul style="list-style-type: none">❖ A GSI based one-way hybrid ensemble-variational data assimilation system was implemented for HWRF. The system uses the global ensemble from the NCEP GFS GSI/EnKF hybrid system to estimate flow-dependent background error covariance.❖ The first time the assimilation NOAA P3 Tail Doppler Radar (TDR) data was implemented in operational HWRF.
2014	<ul style="list-style-type: none">❖ Assimilation of satellite observations (radiance from IR, MW; satellite wind, GPS RO) was implemented in operational HWRF (E. Liu, B. Zhang)❖ New technique implemented for radiance assimilation – use global-regional blended vertical coordinate in analysis (D. Parrish)
2015	<ul style="list-style-type: none">❖ 40 member ‘warm-start’ HWRF ensemble for inner-core background error covariance❖ Assimilation of HS3/Global Hawk dropsonde observations❖ Assimilation of TC vitals Minimum Sea Level Pressure data

HWRF hybrid data assimilation system



HWRF Data Assimilation Configuration

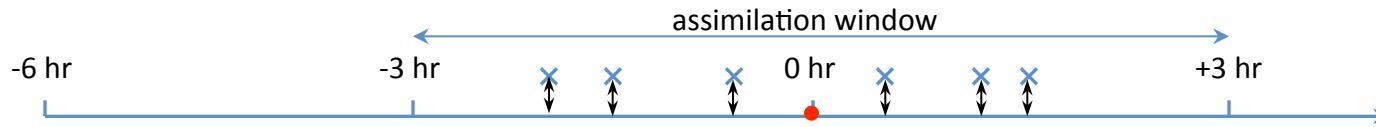
- **61 vertical levels with 2 hPa model top**
- **Model forecast domains**
 - outer domain: 288x576 – $80^{\circ}\text{x}80^{\circ}$; 0.135°
 - middle nest: 142x274 – $12^{\circ}\text{x}11^{\circ}$; 0.045°
 - inner nest: 265x472 – $7.1^{\circ}\text{x}6.5^{\circ}$; 0.015°
- **HWRF vortex initialization domain**
 - 3x domain: 748x1504 – $30^{\circ}\text{x}30^{\circ}$
- **GSI analysis domain (configurable):**
 - ghost d02: 289x579 - $26^{\circ}\text{x}26^{\circ}$; 0.045°
 - ghost d03: 434x867 - $13^{\circ}\text{x}13^{\circ}$; 0.015°
 - GSI analysis domains are configurable.



2015 domain configuration

Assimilation System

- ❖ First guess
 - TC environment cold start from GDAS forecast
 - TC vortex cycled from HWRF forecast + vortex relocation/initialization
 - First Guess at Appropriate Time (FGAT) – configurable (3hourly , hourly)



- ❖ Hybrid data assimilation configuration
 - 80 ensemble member at T254L64 (2013, 2014) T574L64 (2015)
 - Localization
 - Horizontal: 773 km (ghost d02), 387 km (ghost d03)
 - Vertical: 1.28 scale height (in natural log of pressure)
 - Static vs. ensemble covariance: 80% ensemble, 20% static

Assimilation System

❖ GSI analysis variables

- Analysis variables used for HWRF include streamfunction (ψ), unbalanced velocity potential (χ), unbalanced temperature (T), unbalanced surface pressure (P_s), normalized relative humidity,
- Balanced part of velocity potential , temperature and surface pressure (χ_b , T_b and P_{sb}) are calculated from ψ using pre-specified statistical balance relationship specified in the background error statistics file.
- Currently ozone, cloud variables and satellite bias correction coefficients are not analyzed for HWRF

❖ Model variables currently updated

- u , v , t , q , pd , $pint$

Assimilation System - Observations

2014 HWRF	2015 HWRF	Future
<p>Conventional data radiosondes; dropwindsondes; aircraft reports (AIREP/PIREP, RECCO , MDCRS-ACARS, TAMDAR, AMDAR); surface ship and buoy observations; surface observations over land; pibal winds; wind profilers; radar-derived Velocity Azimuth Display (VAD) wind; WindSat scatterometer winds; and integrated precipitable water derived from the Global Positioning System.</p>	<p>Conventional data same as 2014</p>	<p>Conventional data</p>
<p>Satellite data</p> <ul style="list-style-type: none"> • Radiances from IR instruments: HIRS,AIRS, IASI, GOES Sounders • Radiances from MW instruments: AMSU-A, MHS, ATMS • Satellite derived wind: IR/VIS cloud drift winds, water vapor winds • Satellite derived wind: IR/VIS cloud drift winds, water vapor winds • GPS RO bending angle 	<p>Satellite data same as 2014</p>	<p>Satellite data Hourly AMVs Cloudy radiance</p>
<p>Aircraft Reconnaissance observations P3 TDR</p>	<p>Aircraft Reconnaissance observations P3 TDR, GH dropsondes</p>	<p>Aircraft Reconnaissance observations Flight level, SFMR, G-IV radar, GH radar, UAS</p>

GSI customization

Data Assimilation Configuration

- Set up DA configuration in `parm/hwrf_basic.conf`

```
[config]
run_gsi=yes      ;; GSI and FGAT initialization
run_relocation=yes ;; vortex relocation
If run_gsi=no, initial condition = GFS analysis + vortex initialization
conditional_gsid03=no ;; run gsi_d03, only when high-res inner-core data are
                      available
conditional_gsid02=no ;; run gsi_d02, only when high-res inner-core data are
                      available
blend_innercore=yes ;; use vortex initialization in inner core region


- < 150 km from TC center: vortex initialization
- 150 km to 300 km: blending zone
- >300 km GSI analysis


Vertically (< 150 km from TC center):


- > 600 hPa: vortex initialization
- 600 hPa-400 hPa: blending zone
- < 400 hPa: GSI analysis

```

Data Assimilation Configuration

- Set up DA configuration in parm/hwrf.conf

[relocate]

initopt=0 ;; 0: full vortex initialization ([relocation + size correction + intensity correction](#)),
1: relocation only

tdrconditionalvinit=yes ;; if yes, relocation only when TDR data available

[fgat]

FGAT hours:

FGATSTR=-3 ;; FGAT starting hour (relative to analysis time)

FGATINV=3 ;; Step in hours between the FGAT hours

FGATEND=3 ;; FGAT end hour

[forecast_products]

wrfcopier_step=3 ;; Step between times WRF output is copied to COM (hours)

[Change time levels of FGAT: e.g. hourly FGAT -> FGATINV=1, wrfcopier_step=1](#)

Set up hybrid DA configuration

Using global ensemble

```
hwrf_basic.conf:  
[config]  
run_ensemble_da=no ;; run HWRF  
ensemble forecast for DA  
This one controls if HWRF ensemble will  
be run
```

```
hwrf.conf:  
[gsi_d02] /[gsi_d03]  
use_hwrf_ensemble = no
```

```
[gsi_d02_nml]  
REGIONAL_ENSEMBLE_OPTION=1
```

Using HWRF ensemble (non-cycled)

```
hwrf_basic.conf:  
[config]  
run_ensemble_da=yes ;; run HWRF  
ensemble forecast for DA  
run_ens_relocation=no ;; Turn on/off  
relocation for ensemble members
```

```
hwrf.conf:  
[gsi_d03]  
use_hwrf_ensemble = yes
```

```
[gsi_d03_nml]  
REGIONAL_ENSEMBLE_OPTION=2
```

Set up hybrid DA Configuration - continued

- Set up GSI namelist through parm/hwrf.conf

[gsi_d02_nml]/[gsi_d03_nml]

Namelist settings for domain 2 (6km) GSI as an example

HZSCL=0.25,0.5,1.0 ;; background error scale factor for horizontal smoothing

DELTIM=1200 ;; model timestep used for assimilation of precipitation rates

twind=3.0 ;; maximum half time window (hours) for observations

HYBENS_REGIONAL=T ;; logical variable, if .true., then turn on hybrid ensemble option

ENSEMBLE_SIZE_REGIONAL=80 ;; ensemble size

HYBENS_UV_REGIONAL=T ;; if T, then ensemble perturbation wind stored as u,v. if F, streamfunction and velocity potential

BETA1_INV_REGIONAL=0.2 ;; value between 0 and 1, relative weight given to static background B

HYBENS_HOR_SCALE_REGIONAL=300 ;; horizontal localization correlation length (km)

HYBENS_VER_SCALE_REGIONAL=-0.5 ;; vertical localization correlation length (>0. grid units, <0. lnp)

READIN_LOCALIZATION=F ;; if T, then read in localization information from external file

GENERATE_ENS_REGIONAL=F ;; if T, generate ensemble perturbations internally as random samples of static B.

integer, used to select type of ensemble to read in for regional application.

=1: use GEFS internally interpolated to ensemble grid.

=2: ensembles are WRF NMM format

=3: ensembles are ARW netcdf format.

=4: ensembles are NEMS NMMB format.

REGIONAL_ENSEMBLE_OPTION=1 (global ensemble)

PSEUDO_HYBENS=F ;; if T, use pseudo HWRF (NMM) ensemble

GRID_RATIO_ENS=1 ;; ratio of ensemble grid resolution to analysis grid resolution

MERGE_TWO_GRID_ENSPERTS=F ;; merge ensemble from two nests for HWRF (NMM)

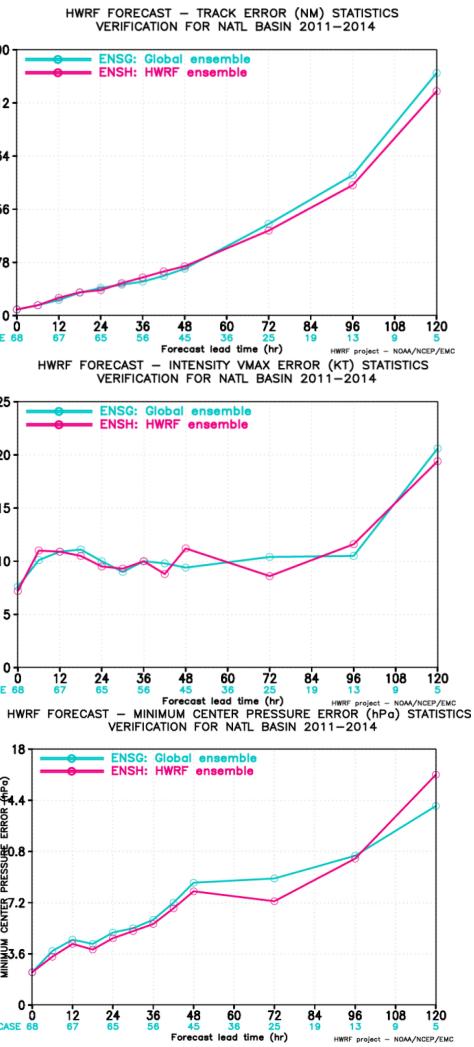
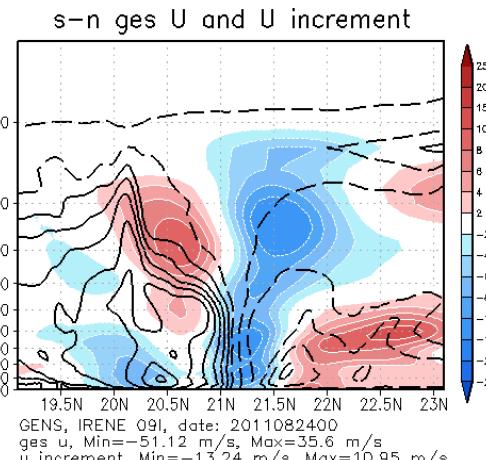
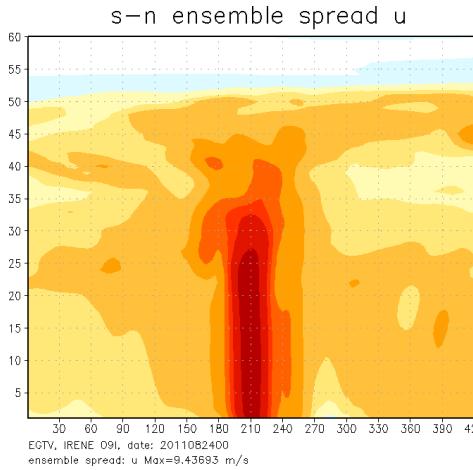
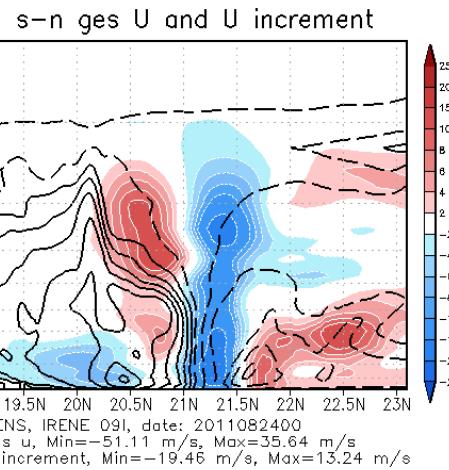
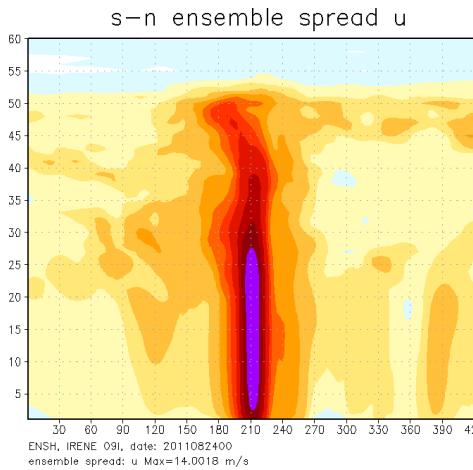
PWGTFLG=F ;; if T, use vertical integration function on ensemble contribution of Psfc

BETAFLG=F ;; if T, use vertical weighting on beta1_inv and beta2_inv, for regional

HYBENS_ANISO_REGIONAL=F ;; if T, then use anisotropic recursive filter for localization

WRITE_ENS_SPRD=F ;; if T, write out ensemble spread

'Warm-start' HWRF vs. global ensemble (2015)



'warm-start' HWRF ensemble:

- improves track forecast, has neutral impact on intensity forecast.
- larger spread with smaller scale structures
- larger analysis increments

Observations – control data usage

1. Presence or lack of input data – control through hwrf.conf

[gsi_d02]/[gsi_d03]

obstypes = hdob_obstype,sat_radiance_obstypes,sat_wnd_obstype,tdr_new_obstype ;; List of obstype sections
(data type not listed will not be linked for assimilated)

[sat_radiance_obstypes] (instruments not listed here will not be linked for assimilated)

gpsrobufr=gpsro ;; GFS/GDAS bufr_d name for gpsrobufr data
gsnd1bufr=goesfv ;; GFS/GDAS bufr_d name for gsnd1bufr data
amsuabufr=1bamua ;; GFS/GDAS bufr_d name for amsuabufr data
hirs4bufr=1bhrs4 ;; GFS/GDAS bufr_d name for hirs4bufr data
mhsbufr=1bmhs ;; GFS/GDAS bufr_d name for mhsbufr data
airsbufr=airsev ;; GFS/GDAS bufr_d name for airsbufr data
seviribufr=sevcsr ;; GFS/GDAS bufr_d name for seviribufr data
iasibufr=mtiasi ;; GFS/GDAS bufr_d name for iasibufr data
amsuabufrears=esamua ;; GFS/GDAS bufr_d name for amsuabufrears data
amsubbufrears=esamub ;; GFS/GDAS bufr_d name for amsubbufrears data
hirs3bufrears=eshrs3 ;; GFS/GDAS bufr_d name for hirs3bufrears data
ssmitbufr=ssmit
amsrebufr=amsre
ssmisbufr=ssmisu
atmsbufr=atms ;; GFS/GDAS bufr_d name for atmsbufr data
crisbufr=cris ;; GFS/GDAS bufr_d name for crisbufr data

Observations – control data usage

2. GSI namelist

```
&OBS_INPUT
[dmesh(1)=90.0,dmesh(2)=45.0,dmesh(3)=45.0,dmesh(4)=45.0,dmesh(5)=90]time_window_max=<r:twind>,l_foreaft_thin=.false.,
/
OBS_INPUT::
! dfile      dtype      dplat  dsis          dval dthin dsfcalc
  prepbufr   ps         null    ps           0.0  0  0
  prepbufr   t          null    t            0.0  0  0
  prepbufr   q          null    q            0.0  0  0
  prepbufr   pw         null   pw           0.0  0  0
  prepbufr   uv         null   uv           0.0  0  0
  satwndbufr uv         null   uv           0.0  0  0
  prepbufr   spd        null   spd          0.0  0  0
  prepbufr   dw         null   dw           0.0  0  0
  radarbufr  rw         null   rw           0.0  0  0
  prepbufr   sst        null   sst          0.0  0  0
tcvital    tcp        null   tcp          0.0  0  0 -> assimilate tcvitals MSLP data
<s:tdrtype> rw         null   rw           0.0  0  0
hdobbufr   uv         null   uv           0.0  0  0
hdobbufr   t          null   t            0.0  0  0
hdobbufr   q          null   q            0.0  0  0
hdobbufr   spd        null   spd          0.0  0  0
gpsrobufr  gps_bnd   null   gps          0.0  0  0
ssmirrbufr pcp_ssmi  dmsp   pcp_ssmi    0.0 -1  0
tmirrbufr  pcp_tmi   trmm   pcp_tmi     0.0 -1  0
sbuvbufr   sbuv2     n16    sbuv8_n16   0.0  0  0
sbuvbufr   sbuv2     n17    sbuv8_n17   0.0  0  0
sbuvbufr   sbuv2     n18    sbuv8_n18   0.0  0  0
hirs3bufr  hirs3     n17    hirs3_n17   0.0  1  0
```

Data thinning grid size (km), work with dthin

Maximum half time window (hrs)
work with twindow in convinfo

Observations not listed here will not be assimilated

Observations – control data usage

3. GSI info/fix files

- convinfo (for conventional data)

```
!otype type sub iuse twindow numgrp ngroup nmiter gross ermax ermin var_b var_pg ithin rmesh pmesh npred pmot ptime
tcp  112  0  1   3.0  0   0   0  75.0  5.0  1.0  75.0  0.000000  0  0.  0.  0.  0.  0. -> tcvital MSLP
ps   111  0 -1   3.0  0   0   0  5.0  3.0  1.0  5.0  0.000    0  0.  0.  0.  0.  0.
ps   120  0  1   3.0  0   0   0  4.0  3.0  1.0  4.0  0.000300  0  0.  0.  0.  0.  0.
ps   132  0 -1   3.0  0   0   0  4.0  3.0  1.0  4.0  0.000300  0  0.  0.  0.  0.  0.
```

- satinfo (for satellite data)

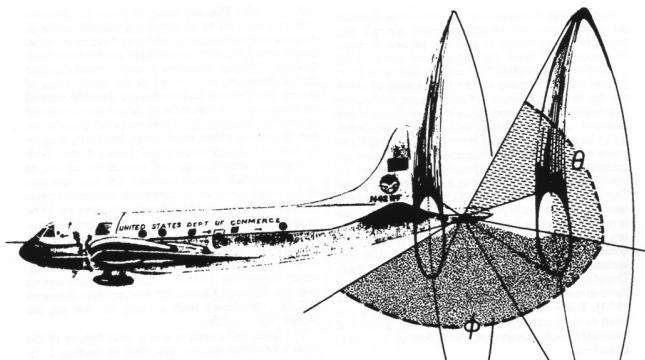
sensor/instr/sat	chan	iuse	error	ermax	var_b	var_pg
amsua_n15	1	1	3.000	4.500	10.00	0.000
amsua_n15	2	1	2.000	4.500	10.00	0.000
amsua_n15	3	1	2.000	4.500	10.00	0.000
amsua_n15	4	1	0.600	2.500	10.00	0.000
amsua_n15	5	1	0.300	2.000	10.00	0.000
amsua_n15	6	1	0.230	2.000	10.00	0.000

Conventional Data Pre-processing

Control through hwrf.conf

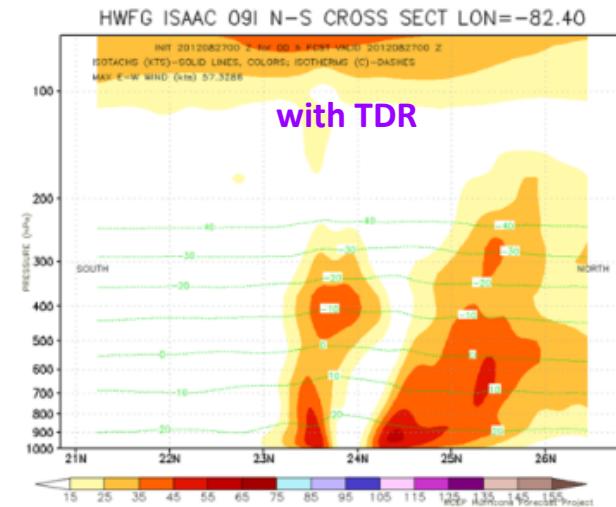
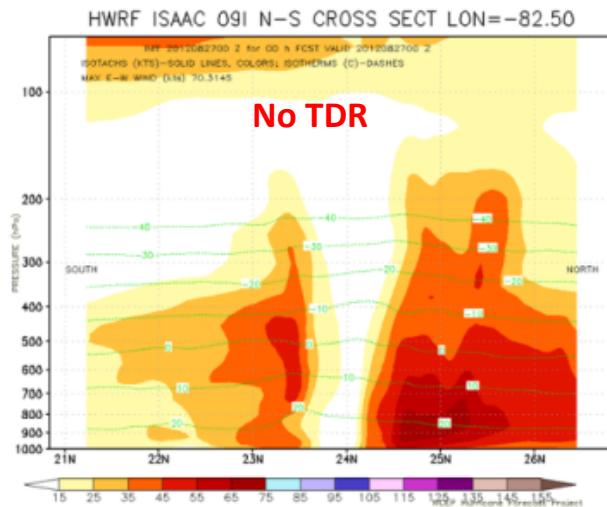
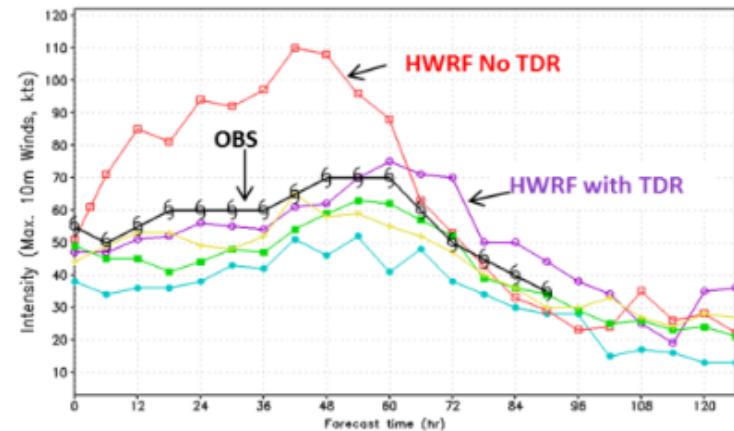
```
[bufrprep]
## options to preprocess prepbufr file
#
# 0: make no change
# 1: remove some inner-core data
# 2: flag/unflag mass and dropsonde u, v data
# 3: unflag HS3/Global Hawk dropsonde data (assimilate HS3 dropsonde in FY15 HWRF)
prepbufrprep=3 ;; parameter used to preprocess prepbufr file
## parameter used to define an area where inner-core data are removed/(un)flagged
#
# radius of a circle centered at TC center
# > 0. remove conventional data, when prepbufrprep=1
#   flag dropsonde wind data, when prepbufrprep=2
# < 0. unflag dropsonde wind data, when prepbufrprep=2
# = 0. no change for dropsonde wind data, when prepbufrprep=2
RRADC=50.
## parameter used to define a square area to flag pressure data
#
# half side length of a square centered at TC center
# > 0. flag pressure data, when prepbufrprep=2
# <= 0. no change, when prepbufrprep=2
RBLDC=-200.
```

Assimilation of NOAA P3 TDR data



Jorgensen et al., 1983, *J. Climate Appl. Meteor.*, 22, 744-757

FIG. 1. Schematic diagram of the WP-3D tail radar scanning plane. The elevation angle (θ) is varied with azimuth (ϕ) to maintain an antenna pointing angle that is normal to the aircraft's ground track.



TDR Data Assimilation

parm/hwrf.conf:

[gsi_d02]/[gsi_d03]

obstypes = hdob_obs_type,sat_radiance_obs_types,sat_wnd_obs_type,tdr_new_obs_type

parm/hwrf_gsi.nml

OBS_INPUT::

! dfile	dtype	dplat	dsis	dval	dthin	dsfcalc
prepbufr	sst	null	sst	0.0	0	0
tcvtil	tcp	null	tcp	0.0	0	0
<s:tdrtype>	rw	null	rw	0.0	0	0

GSI fix file – hwrf.convinfo

!otype type sub iuse twindow numgrp ngroup nmiter gross ermax ermin var_b var_pg ithin rmesh pmesh npred pmot ptime

.....

t 134 0 1 3.0 0 0 0 7.0 5.6 1.3 7.0 0.004000 0 0. 0. 0. 0. 0.

.....

rw 999 0 -1 2.5 0 0 0 10.0 10.0 2.0 10.0 0.000000 0 0. 0. 0. 0. 0.

rw 990 0 1 3.0 0 0 0 5.0 10.0 2.0 10.0 0.000000 1 9. 0. 0 0. 0. -> P3

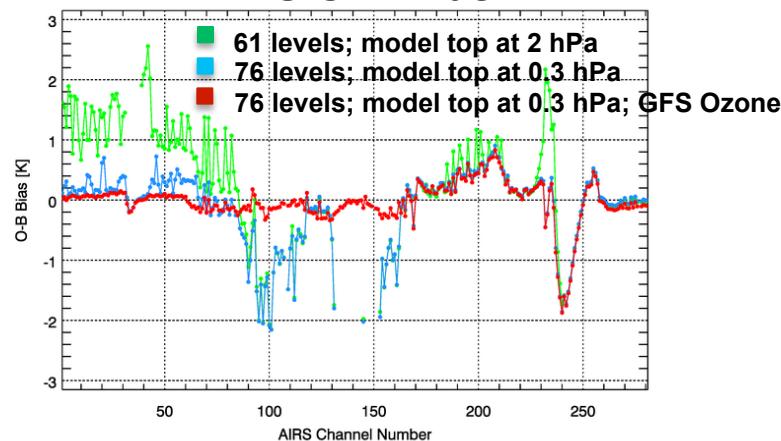
rw 991 0 1 3.0 0 0 0 5.0 10.0 2.0 10.0 0.000000 1 9. 0. 0 0. 0. -> P3

rw 992 0 -1 3.0 0 0 0 5.0 10.0 2.0 10.0 0.000000 1 9. 0. 0 0. 0. -> G-IV

rw 993 0 1 3.0 0 0 0 5.0 10.0 2.0 10.0 0.000000 1 9. 0. 0 0. 0. -> P3

Assimilation of satellite radiance observations

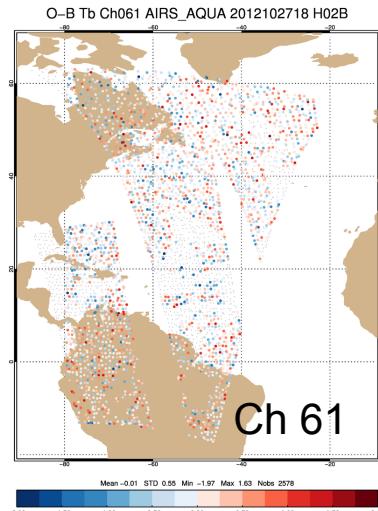
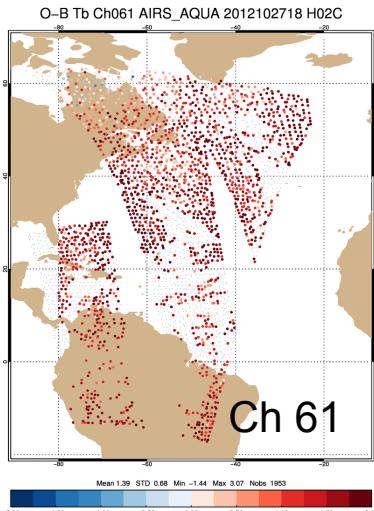
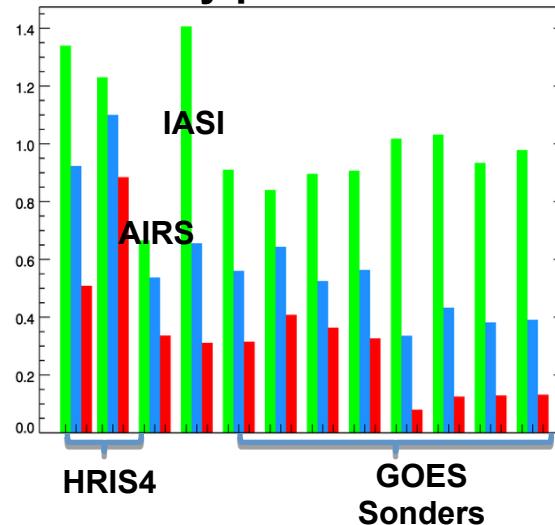
AIRS O-B Bias



61 levels; model top at 2 hPa

76 levels; model top at 0.3 hPa; GFS Ozone

Penalty per Observation



- Use GFS ozone
- Use bias correction coefficients from GDAS

Benefit of blended vertical coordinate and GFS ozone in the analysis:

- AIRS biases are greatly reduced when blended vertical coordinate and GFS ozone are used in the analysis
- Cost function for IR instruments reduced more than 50%

Emily Liu, David Parrish, Yanqiu Zhu

Satellite Data Assimilation

```
parm/hwrf.conf  
[gsi_d02]/[gsi_d03]  
sat_wnd_da = no ;; Enable assimilation of satellite wind?  
sat_radiance_da = no ;; Enable satellite radiance data assimilation?  
use_newradbc=yes ;; Use new bias correction data for 2015 GFS and later  
use_gfs_stratosphere = yes ;; Use blended global-regional vertical coordinate for  
satellite radiance DA  
obstypes = hdob_obstype,sat_radiance_obstypes,sat_wnd_obstype,tdr_new_obstype
```

GSI fix file - anavinfo

use_gfs_stratosphere = yes -> fix/hwrf-gsi/anavinfo_hwrf_L75
(analysis grid has 75 vertical levels – blended global-regional coordinate)
= no -> fix/hwrf-gsi/anavinfo_hwrf_L60
(analysis grid has 60 vertical levels – model grid)

- More information about GSI can be found from documentations and tutorial slides at DTC GSI webpage

<http://www.dtcenter.org/com-GSI/users/>