





Radiance Data Assimilation

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Outline

- Introduction
- Different types of satellite data.
- Basic theory of satellite observations
 - Radiative Transfer
 - Spectroscopy
 - Basic concept of a retrieval
- Assimilating satellite radiances.
 - Data assimilation equation
 - Quality control.
 - Bias correction.
 - Observation errors.
 - Thinning
 - Monitoring.
 - Thinning
- Future of satellite data assimilation.







Introduction

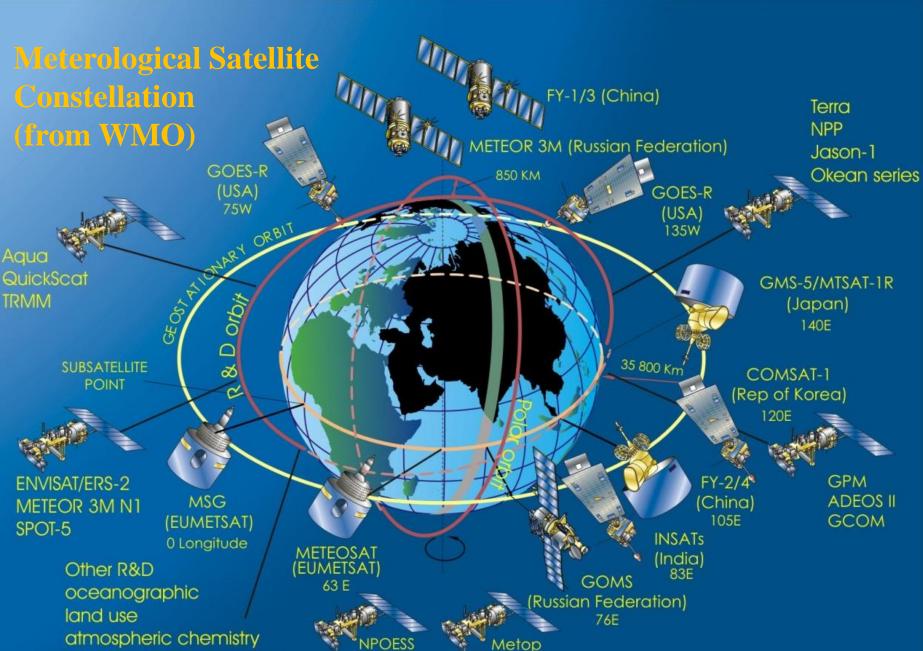
- Satellites instruments do not directly measure the atmospheric state.
- Instead they measure radiation emitted by and/or transmitted by the atmosphere.
- This presentation describes the relationship between the atmospheric state and the observed radiation. And how the information contained therein is exploited through assimilation into the NWP model.







and hydrological missions



(EUMETSAT)

(USA)

SERS FOR ENVIRONMENTE







- Active (bouncing a signal off something)
 - Wind Lidar
 - SAR
 - Cloud radar
 - Scatterometry

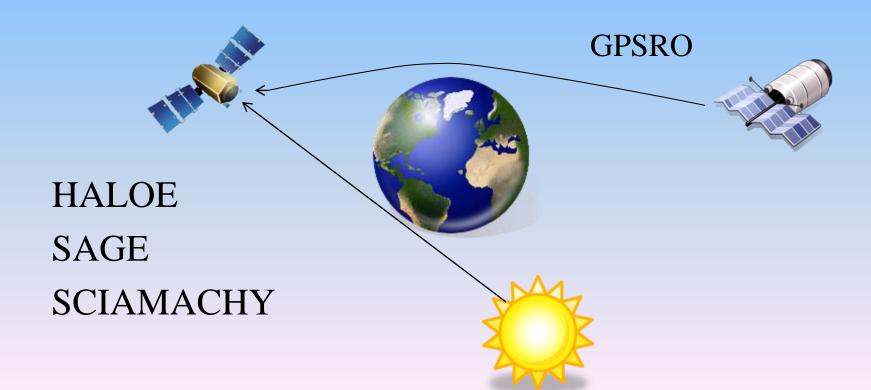








• Occultation (signal passing through atmosphere)









Passive (receiving radiative signal from source)

Visible instruments IR instruments Microwave instruments







Passive Instruments

 This talk will focus on passive infrared and microwave instruments as they are the most common and biggest contributors to Numerical Weather Prediction

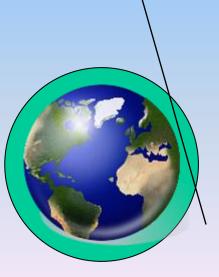






Geometry: Limb vs Nadir Sounding

- Limb sounding: Viewing the Earth's atmosphere tangentially
 - Higher vertical resolution
 - Lower horizontal resolution
 - Most often used for observing the stratosphere and above.
 - Not often used in NWP





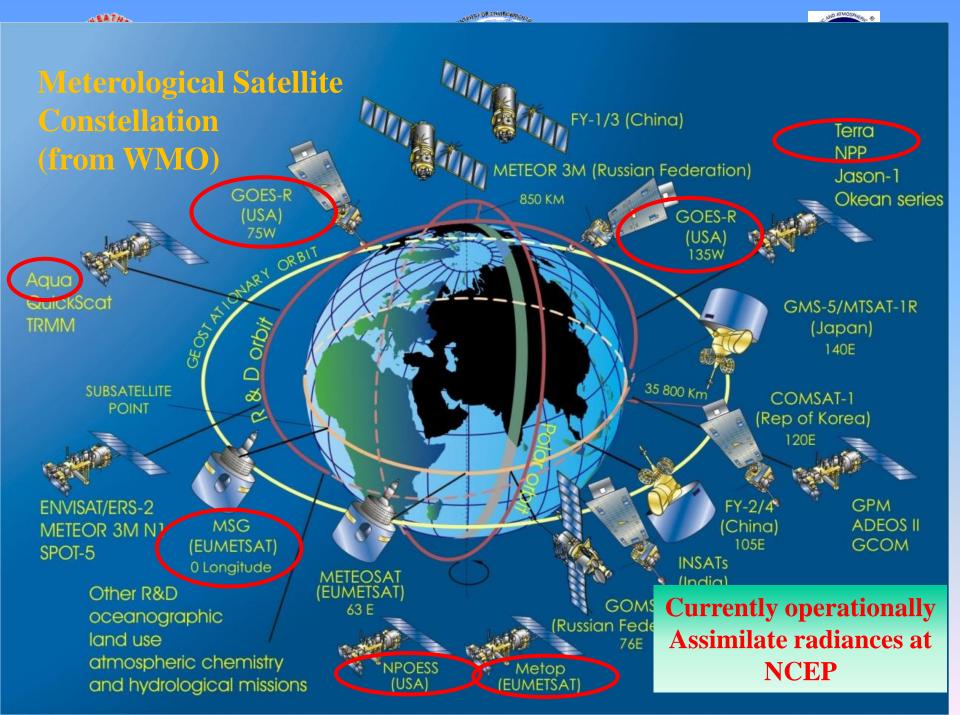




Geometry: Limb vs Nadir Sounding

- Nadir sounding: Viewing towards the Earth's surface
 - Lower vertical resolution
 - Higher horizontal resolution
 - Most often used in NWP











Basic theory of satellite observations

Radiative Transfer







Radiative Transfer : Definitions

- *Radiance*, I_v , is the radiant energy emitted per unit time, per unit frequency interval, per unit area, and per unit solid angle in a specified direction at a given frequency, v.
 - The units for radiance are Wm⁻²sr⁻¹(cm⁻¹)⁻¹ or equivalent. Radiance is often expressed as the temperature that would produce the equivalent black-body radiance: the *Brightness Temperature*.
- This is not to be confused with *Irradiance* or *Flux Density* which is the total power per unit frequency interval, crossing perpendicular to a unit area which has units Wm⁻²(cm⁻¹)⁻¹.

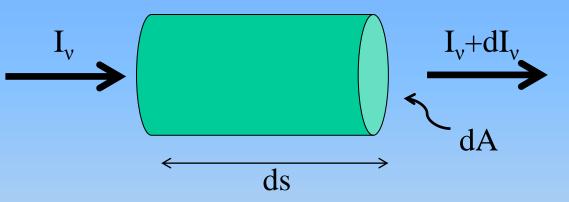






Absorption of radiance in a volume element

Consider monochromatic radiation of frequency vpassing through a volume element of length ds and cross-sectional area dA. It contains a gas with n molecules per unit volume, each with an absorption coefficient of k_v.



If we ignore scattering, the change in radiance across the volume due to absorption is given by:

$$(I_{\nu} + dI_{\nu})dA = -(n k_{\nu} ds) I_{\nu} dA$$

 $(n k_v ds)$ is the *absorptivity* of the volume element.

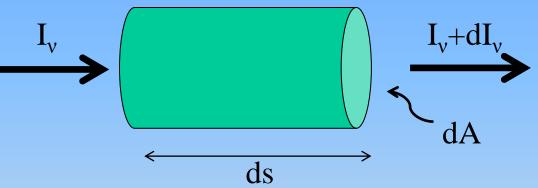






Emission of radiance in a volume element

If we continue to ignore scattering, the change in radiance across the volume due to emission from the same volume element is given by:



$$(I_{\nu} + dI_{\nu})dA = \varepsilon_{\nu}S_{\nu} dA$$

Where ε_{v} is the emissivity of the volume and is S_{v} the *Source Function*. In regions of local thermodynamic equilibrium (LTE) – as is usually the case in the troposphere and stratosphere – the Source Function is the Planck Function, B(T), where T is the temperature.







Schwarzchild Equation of Radiative Transfer

Combining the terms for emission and absorption gives

 $(I_{\nu} + dI_{\nu})dA = -(n k_{\nu} ds) I_{\nu} dA + \varepsilon_{\nu} S_{\nu} dA$

Kirchoff's Law states that the absorptivity and the emissivity are the same, so $n k_v ds = \varepsilon_v$. If we now define the *optical depth*, τ , through $d\tau_v = -n k_v ds$, we obtain the *Schwarzchild Equation of Radiative Transfer*:

$$\frac{\mathrm{d}I_{\mathrm{v}}}{\mathrm{d}\tau_{\mathrm{v}}} = I_{\mathrm{v}} - S_{\mathrm{v}}$$

As stated above, for LTE, $S_v = B_v(T)$, so

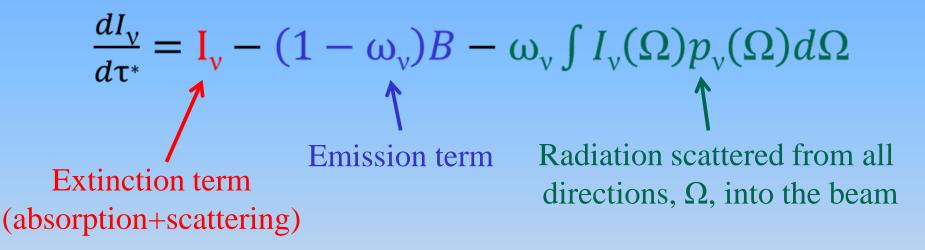
$$\frac{\mathrm{d}I_{v}}{\mathrm{d}\tau_{v}} = I_{v} - \mathrm{B}(\mathrm{T})_{v}$$







Schwarzchild Equation in a scattering atmosphere



Now the optical depth, τ_{ν}^* , is an extinction optical depth and is defined via $d\tau_{\nu}^* = n (k_{\nu} + \sigma_{\nu}) ds$, where is σ_{ν} the scattering coefficient. ω_{ν} is the single scattering albedo and is given by $\sigma_{\nu}/(k_{\nu} + \sigma_{\nu})$. $p_{\nu}(\Omega)$ is the phase function and describes the angular distribution of how incident radiance is scattered.

We are not going to be considering scattering in this talk.







 $I(\tau_1)$

 τ_1

Radiative Transfer

Solution to Schwarzchild Equation

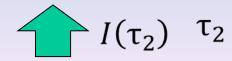
The general solution to the Schwarzchild equation is:

 $I(\tau_1) = I(\tau_2)e^{-(\tau_2 - \tau_1)} + \int_{\tau_1}^{\tau_2} S(\tau) e^{-(\tau - \tau_1)} d\tau$ \bigwedge Radiation at

observer

Radiation at lower Boundary (usually the surface for terrestrial planets) ... Emission of radiation from the atmosphere itself including reabsorption of this radiation

... attenuated by the intervening atmosphere









Transmission and Weighting Functions

The general solution to the Schwarzchild equation is:

$$I(\tau_1) = I(\tau_2)e^{-(\tau_2 - \tau_1)} + \int_{\tau_1}^{\tau_2} S(\tau) e^{-(\tau - \tau_1)} d\tau$$

The *transmission*, between optical depths τ and τ_1 , $T(\tau,\tau_1)$ is $e^{-(\tau-\tau_1)}$ and $dT = -e^{-(\tau-\tau_1)}d\tau = -T(\tau,\tau_1) d\tau$. So now the solution is:

$$I(\tau_{1}) = I(\tau_{2})T(\tau_{2},\tau_{1}) + \int_{T_{2}}^{T_{1}} S(\tau) dT$$

Which we can transform into pressure, p, coordinates:

$$I(\tau_{1}) = I(\tau_{2})T(\tau_{2},\tau_{1}) + \int_{p_{2}}^{p_{1}} S(\tau) K(p) dp$$

where

$$K(p) = \frac{\partial T(p, p_1)}{\partial p}$$

is the historical definition of the *weighting function* as it is the weight given to the source function at each level in the solution.







Weighting Functions and Jacobians

This definition of the weighting function was used in many early retrieval schemes. But in modern data assimilation, the weighting often refers to (e.g., Rogers, 2000) the derivative of the observation (which we now designate y) with respect to the *state vector*, x. This is the Jacobian matrix, $\mathbf{K} = \nabla_{\mathbf{x}} \mathbf{y}(\mathbf{x})$.

Here **x** is typically a vector of temperatures, molecular abundances (including major absorbers such as H_2O , CO_2 , O_3 , CH_4) for many layers throughout the atmosphere, surface properties and often cloud and aerosol properties.

Note that whereas the Planck emission is implicit in the calculation of the Jacobians, it is not included in the weighting function as the weighting function *is the weighting for* the Plank emission at each level.



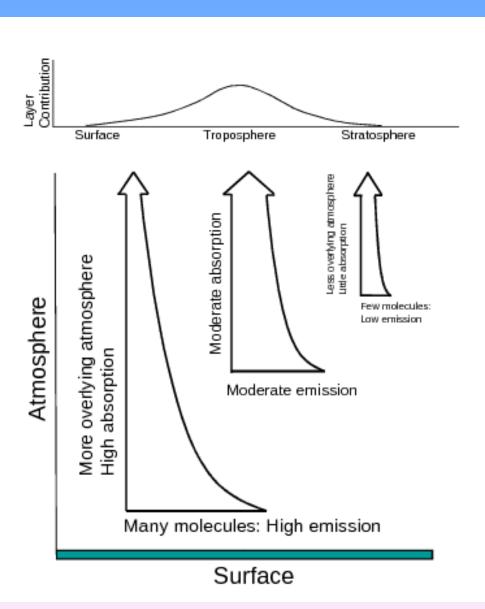




Illustration of Jacobian or Weighting Function

The form of the Jacobian or weighting function is highly frequency dependent as the atmospheric absorption properties vary with frequency and pressure in the atmosphere.

Weighting functions and Jacobians can peak at any level of the atmosphere including the surface.









Basic theory of satellite observations

Spectroscopy

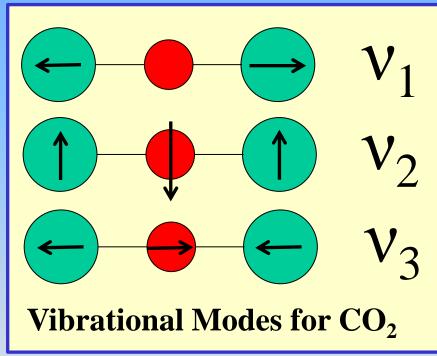






So where does atmospheric absorption come from?

- Molecules in the atmosphere have energy stored as rotational, vibrational and electronic components
- The energy states are quantised and may be transformed through emission or absorption of electromagnetic radiation. This results in discrete spectral emission/absorption features in the spectrum.



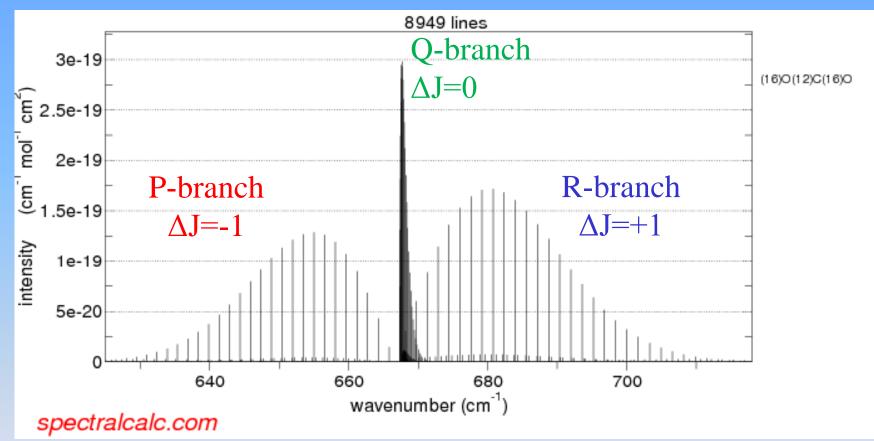
- In the microwave these are due to rotational transitions
- In the infrared these are rotational and vibrational transitions
- Electronic transitions manifest themselves in the visible and ultraviolet







Vibration-Rotation Spectrum Ground→v2 transition for CO2



An example of a vibration-rotation band in the infrared CO_2 spectrum. Due to considerations of angular momentum, only changes in the rotational quantum number, J, of -1,0 or 1 are optically active, producing the characteristic three branch structure to the band (some linear molecules have the Q-branch missing).







Line Broadening

- Spectral lines will be broadened through one of the following three processes:
 - Natural broadening: The finite time of the quantum transition corresponds to an uncertainty in the energy through the uncertainty principle.
 - Doppler broadening: Thermal motion of the molecules along the line of sight result in apparent uncertainty in the frequency through the Doppler effect.
 - Collisional (or pressure) broadening: Collisions between molecules during emission and absorption results in modification of energy levels and hence broadening of the spectral line.
- In the lower atmosphere, collisional broadening dominates, while Doppler broadening is more important in the upper atmosphere.







Other Sources of Atmospheric Opacity

- Continuum absorption: Mostly the combined effect of the far wings of lines from collisional broadening or collisionally induced absorption bands (O₂, N₂, CO₂ on Venus!). Possible effect from dimers of H₂O. In the 8-12m infrared window, the H₂O continuum is the dominant source of opacity (except for clouds).
- Absorption and scattering from cloud particles. Mie theory describes liquid water cloud RT well. Ice crystals are more complicated. Particle size distributions can be important to characterize.
- Absorption and scattering from aerosols. Similar problem to cloud with added complication of varying compositions.

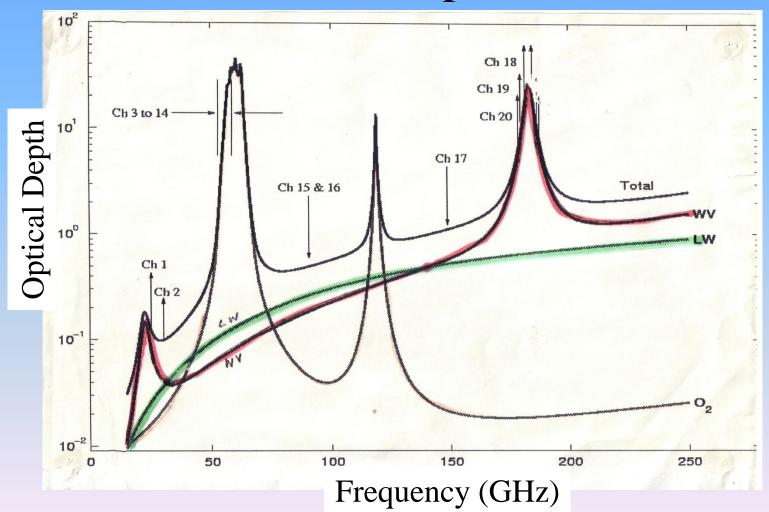
All the above tend to have much broader spectral features than gaseous absorption.







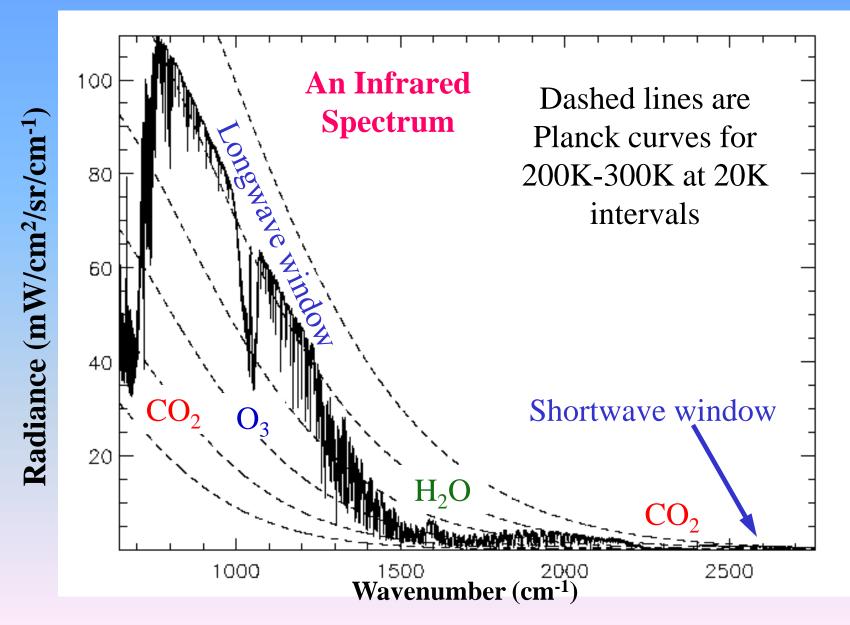
Microwave Spectrum







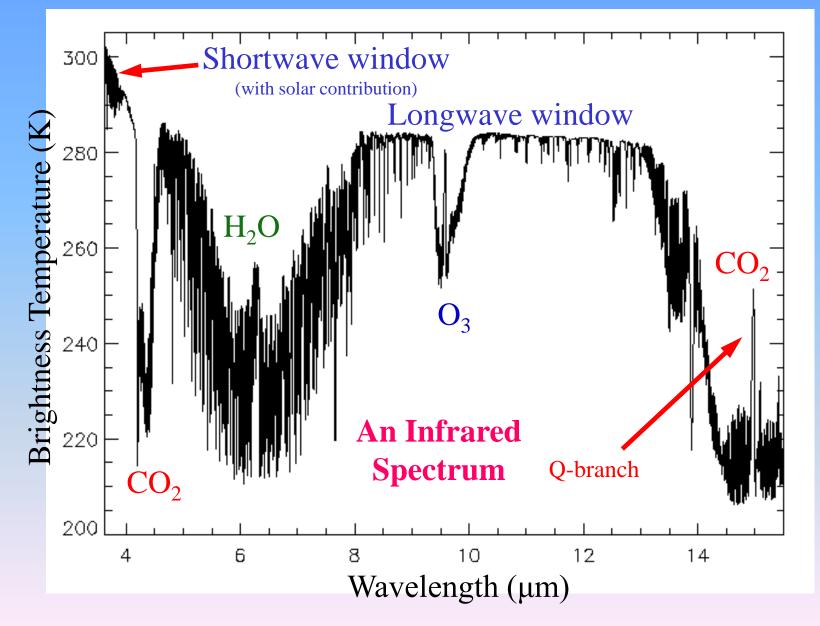








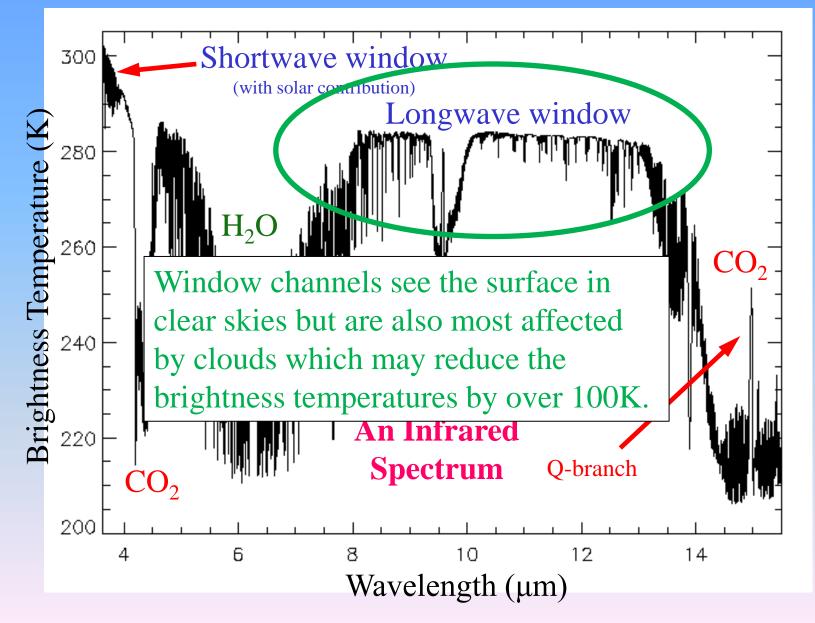










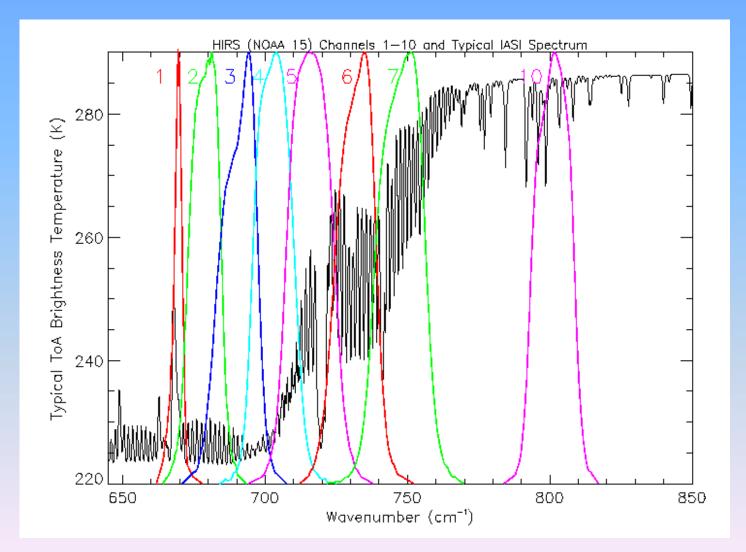






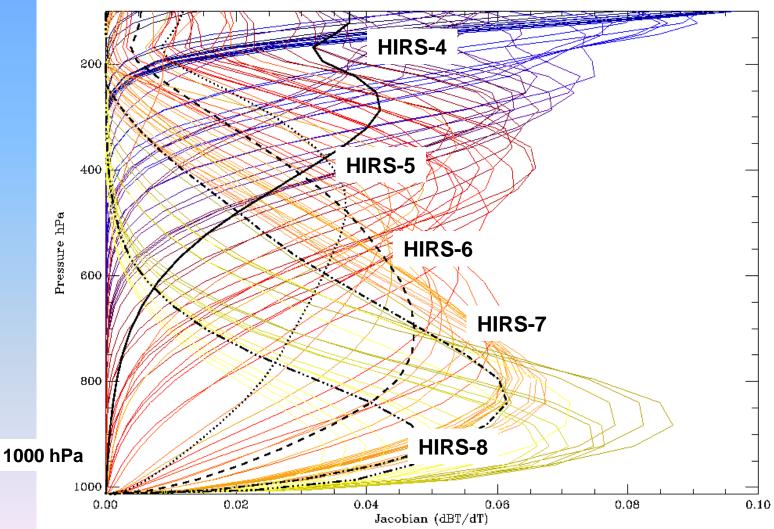


IASI vs HIRS: The Thermal InfraRed





100 hPa









We also need to know the surface emissivity

- Over ocean we usually have models, e.g.:
 ISEM (infrared)
 - FASTEM (microwave)
- Over land we often use atlases, either of the emissivities themselves or of the land type.
- Emissivities can also be retrieved from the observations themselves.

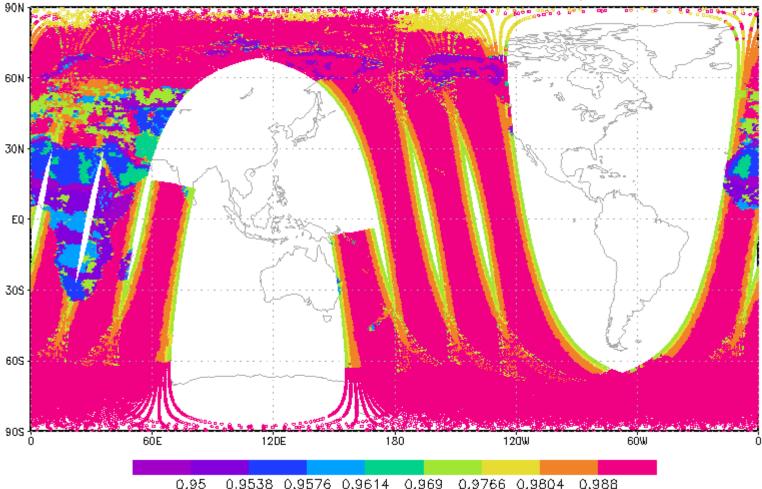






Surface Emissivity Infrared

n19 ch. 8 hirs surface emissivity



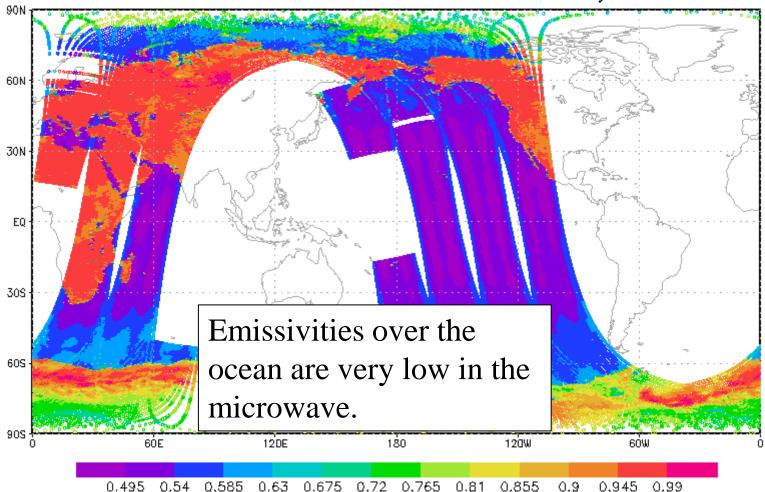






Surface Emissivity Microwave

n18 ch. 5 amsua surface emissivity









Forward models

- To exploit these radiances, it is important to have an accurate way of simulating them from the atmospheric state.
- Line-by-line (LBL) models use state-of-the-art spectroscopic databases to make these calcuations at high spectral resolution.
- These monochromatic calculations are then combined using the instruments' spectral response functions (ISRFs) to simulate what the instrument observes.
- This can be very slow. Too slow for operational radiance assimilation.







Fast Forward models

- To allow radiances to be operationally assimilated, fast radiative transfer models, which use regression schemes to simulate the output from LBL models, have been produced.
- The two main fastmodels used operationally in NWP centers are RTTOV (developed by the EUMETSAT NWPSAF) and CRTM (JCSDA).
- The errors in the fastmodel are not usually a significant component of the total error budget.
- Most importantly, fastmodels allow the Jacobians (and the model adjoint) to be calculated efficiently.







Basic theory of satellite observations

Basic Concept of a Retrieval







- So we have observations of the radiation emitted from the atmosphere at various frequencies corresponding to:
 - Emission and absorption at various levels
 - Emission and absorption by various gases/clouds/aerosols
- Now what?







- Unless we can infer the temperature profile we won't be able to do much else.
- To do this we need to choose frequencies where we know the absorption profiles already
- We choose gases with a constant distribution to do this.
- For the infrared we use CO₂
- For the microwave we use O₂
- These are hence known as *temperature sounding bands*.
- But all bands are sensitive to temperature, often as in the case of H_2O with sharper Jacobians.
- Once we have a good temperature profile we can use that to infer molecular abundances of variable species using appropriate frequencies.
 - This is actually performed simultaneously with the temperature estimation when we do data assimilation





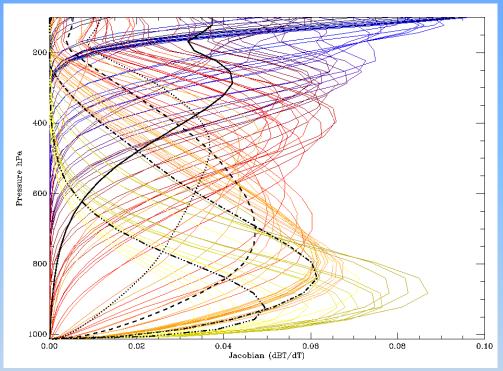


Obtaining vertical profiles

The Jacobians give the sensitivity to the vertical profiles of temperature/gases/clouds_etc.

If we sum the contribution of each channel, we can get a very accurate estimate of the mean atmospheric temperature (with very low vertical) resolution.

If we take differences between each of the channels we can infer the profile with high vertical resolution, but the result will be very noisy.



When we assimilate the radiance observations we are effectively producing a minimum variance solution to the problem: which is a compromise between these two extremes







Assimilating satellite radiances

Data Assimilation Equation







Atmospheric Analysis Problem

- $\mathbf{J} = \mathbf{J}_{\mathbf{b}} + \mathbf{J}_{\mathbf{o}} + \mathbf{J}_{\mathbf{c}}$
- $\mathbf{J} = (\mathbf{x} \mathbf{x}_b)^{\mathrm{T}} \mathbf{B}_{\mathbf{x}}^{-1} (\mathbf{x} \mathbf{x}_b) + (\mathbf{y} \mathbf{K}(\mathbf{x}))^{\mathrm{T}} (\mathbf{E} + \mathbf{F})^{-1} (\mathbf{y} \mathbf{K}(\mathbf{x})) + \mathbf{J}_{\mathrm{C}}$
- **J** = Fit to background + Fit to observations + constraints
- x = Analysis
- **x**_b = **Background**

(usually a short-range forecast from the previous cycle)

- **B**_x = Background error covariance
- \vec{K} = Forward model (nonlinear)
- **O** = **Observations**

E+F = R = Instrument error + Representativeness error

J_C = Constraint term







Atmospheric Analysis Problem

$\mathbf{J} = \mathbf{J}_{\mathbf{b}} + \mathbf{J}_{\mathbf{o}} + \mathbf{J}_{\mathbf{c}}$

$\mathbf{J} = (\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}_{\mathbf{x}}^{-1} (\mathbf{x} - \mathbf{x}_b) + (\mathbf{y} - \mathbf{K}(\mathbf{x}))^T (\mathbf{E} + \mathbf{F})^{-1} (\mathbf{y} - \mathbf{K}(\mathbf{x})) + \mathbf{J}_C$

- J = FitThe difference between the observations and the background transformed into model space, the first guess departure, is an important measure. It is often the basis of quality control procedures.
- **O** = **Observations**

E+**F** = **R** = **Instrument error** + **Representativeness error J**_C = **Constraint term**







Assimilating satellite radiances

Quality Control







Quality Control Procedures

- The quality control step may be the most important aspect of satellite data assimilation.
- Data which has gross errors or which cannot be properly simulated by forward model must be removed.
- Most problems with satellite data come from 4 sources:
 - Instrument problems.
 - Clouds and precipitation simulation errors.
 - Surface emissivity simulation errors.
 - Processing errors (e.g., wrong height assignment, incorrect tracking, etc).







Quality Control Procedures

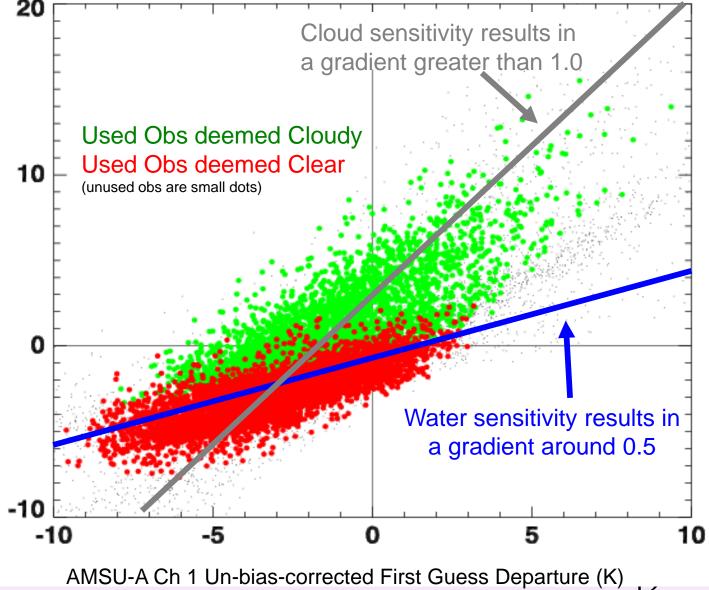
- IR cannot see through most clouds.
 - Cloud height difficult to determine especially with mixed FOVs.
 - Since deep layers not many channels completely above clouds.
- Microwave impacted by clouds and precipitation but signal is smaller from thinner clouds.
- Surface emissivity and temperature characteristics not well known for land/snow/ice.
 - Also makes detection of clouds/precip. more difficult over these surfaces.
- Error distribution may be asymmetric due to clouds and processing errors.



Cloud detection in the microwave



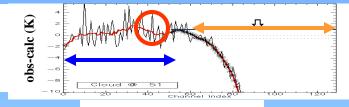
20 AMSU-A Ch 2 Un-bias-corrected First Guess Departure (K) 10 0 -10





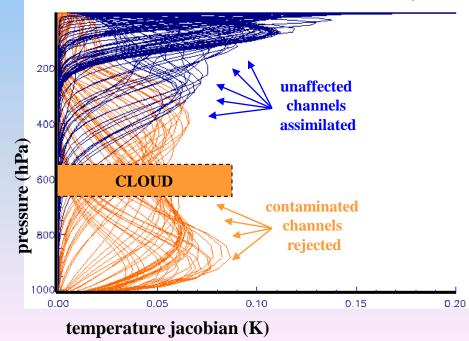


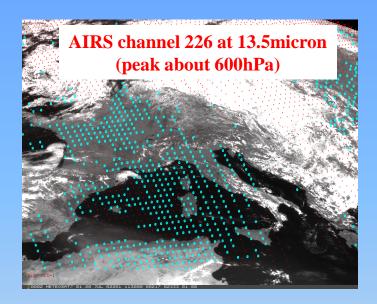
A non-linear pattern recognition algorithm is applied to departures of the observed radiance spectra from a computed clear-sky background spectra.

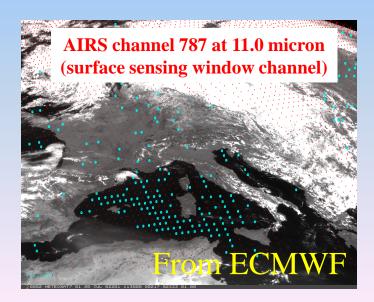


Vertically ranked channel index

This identifies the characteristic signal of cloud in the data and allows contaminated channels to be rejected













Assimilating satellite radiances

Bias Correction







Bias Correction

- The differences between simulated and observed observations can show significant biases.
- The source of the bias can come from:
 - Inadequacies in the characterization of the instruments.
 - Deficiencies in the forward models.
 - Errors in processing data.
 - Biases in the background.
- Except when the bias is due to the background, we would like to remove these biases.



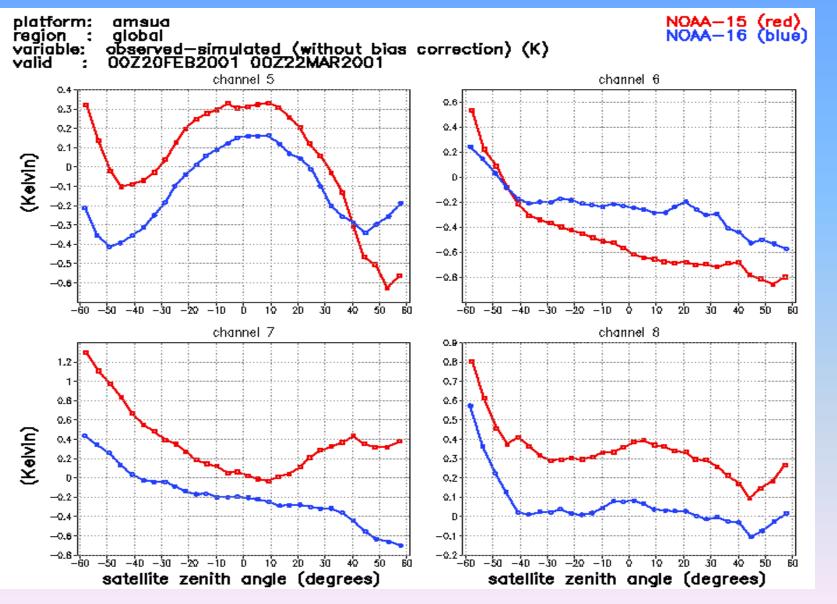




Bias Correction

- Currently bias correction only applied to a few data sets:
 - Radiances.
 - Radiosonde data (radiation correction and moisture).
 - Aircraft data.
- For radiances, biases can be much larger than signal. Essential to bias correct the data.
- NCEP currently uses a 2-step process for radiances (other centers are similar).
 - Angle correction (very slowly evolving different correction for each scan position).
 - Air Mass correction (slowly evolving based on predictors).











Satellite radiance observations Bias correction

- Air mass prediction equation for bias variational bias correction
 - Add to control vector (analysis variables x_{n+i})

where total bias correction = $\sum_{1}^{n_p} x_{n+i} p_i$

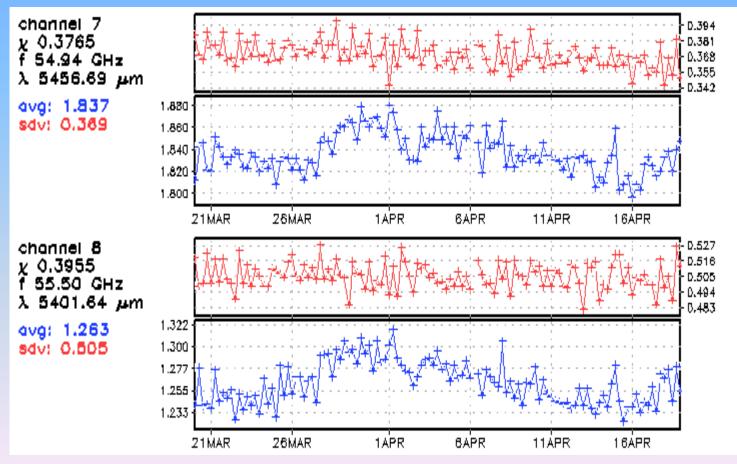
- Predictors (p_i) for each channel
 - mean
 - path length (local zenith angle determined)
 - integrated lapse rate
 - (integrated lapse rate)²
 - cloud liquid water







NOAA 18 AMSU-A No Bias Correction

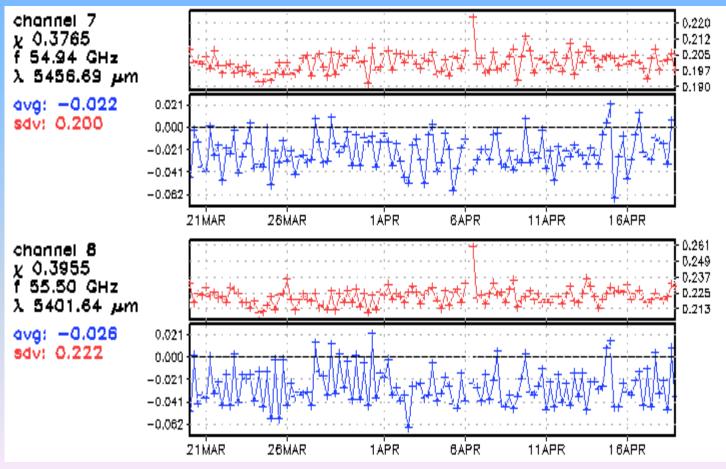








NOAA 18 AMSU-A Bias Corrected

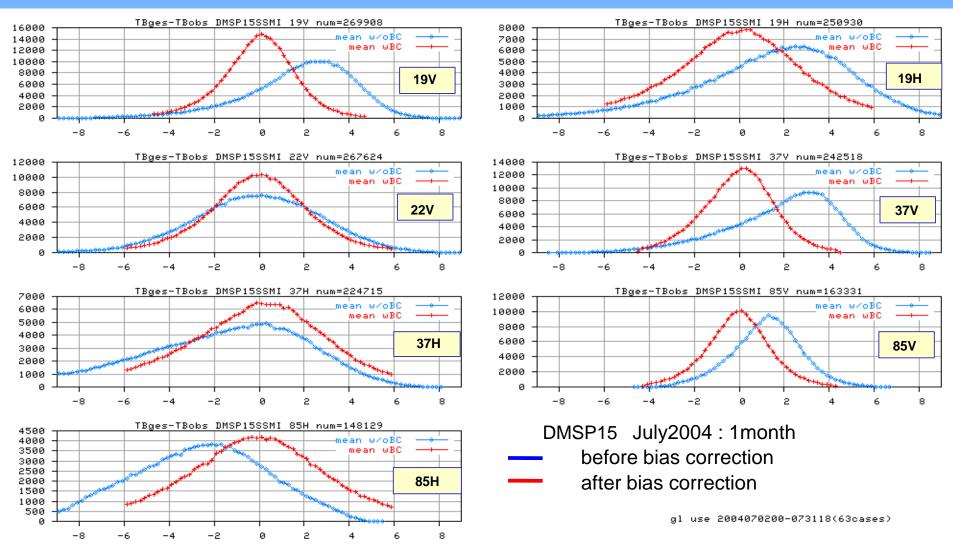




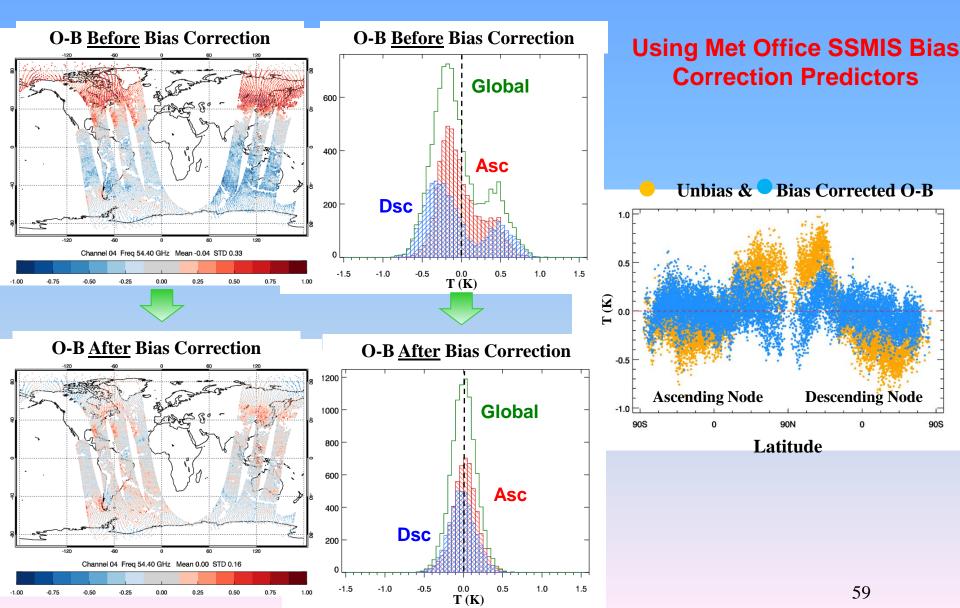




Observation - Background Histogram



Application of NWP Bias Correction for SSMIS F18









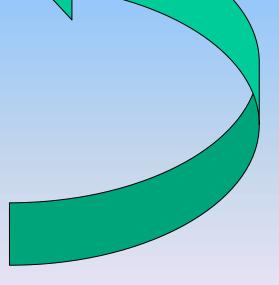
Bias Correction and QC Interact

Bias Correction

Observations are bias-corrected after quality control

Quality Control

Quality control usually uses bias-corrected observations









Assimilating satellite radiances

Observation Errors







Observational Errors

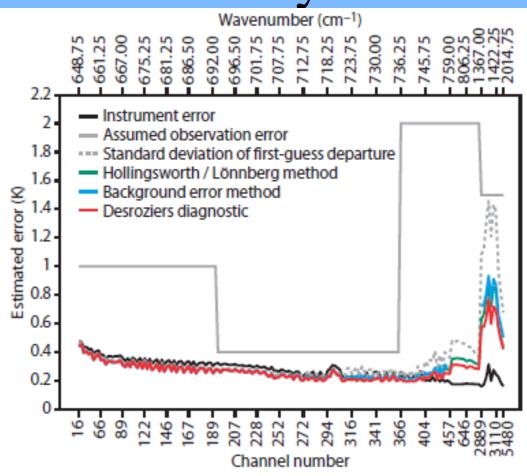
- Observation errors specified based on instrument errors and statistics
- Generally for satellite data, variances are specified a bit large since the correlated errors (from RT and instrument errors) are not well known.
- Observation errors are also generally specified as being uncorrelated spectrally, but efforts are being made to determine the off-diagonal components of the observation error covariance matrix.







IASI Observation Errors in ECMWF System

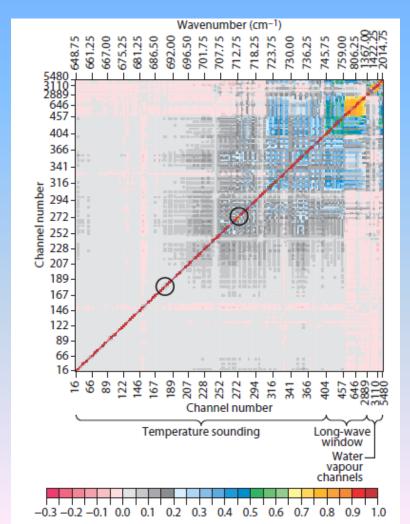








IASI Inter-Channel Correlations









Specifying Errors in the GSI: Satinfo file

									<u> </u>	
	!sensor/instr/sat	chan	iuse	error	error_cld	ermax	var_b	var_pg		
	amsua_n15	1	1	3.000	9.100	4.500	10.000	0.000		
	amsua_n15	2	1	2.000	13.500	4.500	10.000	0.000		
	amsua_n15	3	1	2.000	7.100	4.500	10.000	0.000		
	amsua_n15	4	1	0.600	1.300	2.500	10.000	0.000		
	amsua_n15	5	1	0.300	0.550	2.000	10.000	0.000		
	amsua_n15	6	1	0.230	0.230	2.000	10.000	0.000		
	amsua_n15	7	1	0.250	0.195	2.000	10.000	0.000		
	amsua_n15	8	1	0.275	0.232	2.000	10.000	0.000		
	amsua_n15	9	1	0.340	0.235	2.000	10.000	0.000		
	amsua_n15	10	1	0.400	0.237	2.000	10.000	0.000		
	amsua_n15	11	-1	0.600	0.270	2.500	10.000	0.000		
	amsua_n15	12	1	1.000	0.385	3.500	10.000	0.000		
	amsua_n15	13	1	1.500	0.520	4.500	10.000	0.000		
	amsua_n15	14	-1	2.000	1.400	4.500	10.000	0.000		
	amsua_n15	15	1	3.000	10.000	4.500	10.000	0.000		
	hirs3_n17	1	-1	2.000	0.000	4.500	10.000	0.000		
	hirs3_n17	2	-1	0.600	0.000	2.500	10.000	0.000		
	hirs3_n17	3	-1	0.530	0.000	2.500	10.000	0.000		
	hirs3_n17	4	-1	0.400	0.000	2.000	10.000	0.000		
	hirs3_n17	5	-1	0.360	0.000	2.000	10.000	0.000		
Is channel assimilated?			7	\uparrow	Ń	۱ <u> </u>	J			
-1=Passive, 1=Active				See to	tomorrow's talk Used in VarQC					
(there are other options)										
The assigned observation error (K)					The ma	The maximum allowed departure				
The assigned observation enor (K)					to pass	to pass quality control (K)				
					-			. ,		







Assimilating satellite radiances

Thinning







Thinning or Superobbing

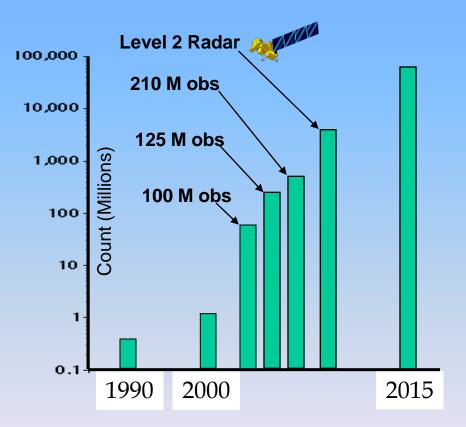
- Thinning
 - Reducing spatial or spectral resolution by selecting a reduced set of locations or channels.
 - Can include "intelligent thinning" to use better observation.
- Superobbing
 - Reducing spatial or spectral resolution by combining locations or channels.
 - Can reduce noise.
 - Includes reconstructed radiances.
 - Can include higher moments contained in data <u>Purser et al., 2010</u>.
 - Can be done with obs or departures, but should be done after QC.
- Both can be used to address 3 problems:
 - Redundancy in data.
 - Reduce correlated error.
 - Reduce computational expense.



Satellite Data Ingest

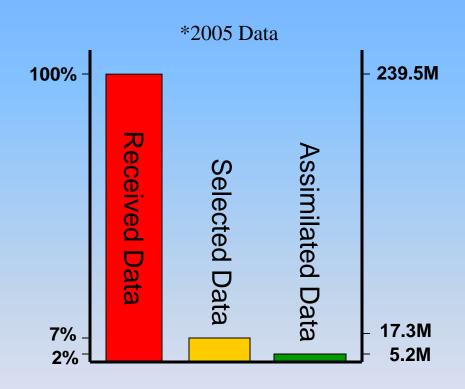


Daily Satellite & Radar Observation Count



Five Order of Magnitude Increases in Satellite Data Over Fifteen Years (2000-2015)

Daily Percentage of Data Ingested into Models



Received = All observations received operationally from providers Selected = Observations selected as suitable for use Assimilated = Observations actually used by models







Assimilating satellite radiances

Data Monitoring





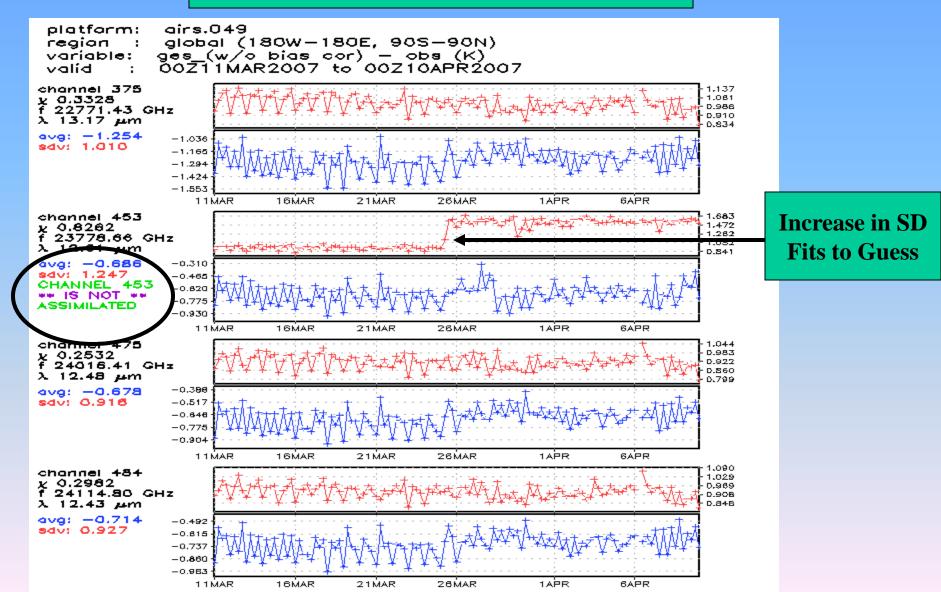


Data Monitoring

- It is essential to have good data monitoring.
- Usually the NWP centres see problems with instruments prior to notification by provider (Met Office especially).
- The data monitoring can also show problems with assimilation systems.
- Needs to be ongoing/real time.
- Monitoring reports from most major NWP centers at: http://research.metoffice.gov.uk/research/interproj/nwpsaf/monitoring.html

Quality Monitoring of Satellite Data

AIRS Channel 453 26 March 2007









Overall Comments

- Satellite data must be treated carefully.
- Important to be aware of instrument characteristics before attempting to use data.
- No current component of observing system is used "perfectly" or "as well as possible".
- Computational expense plays important role in design of system.







Questions and/or Comments Please







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